

A Journey in Resurgence

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Cosmology and High Energy Physics
September 18 2025

Paroisse Sainte Bernadette, Montpellier

Plan

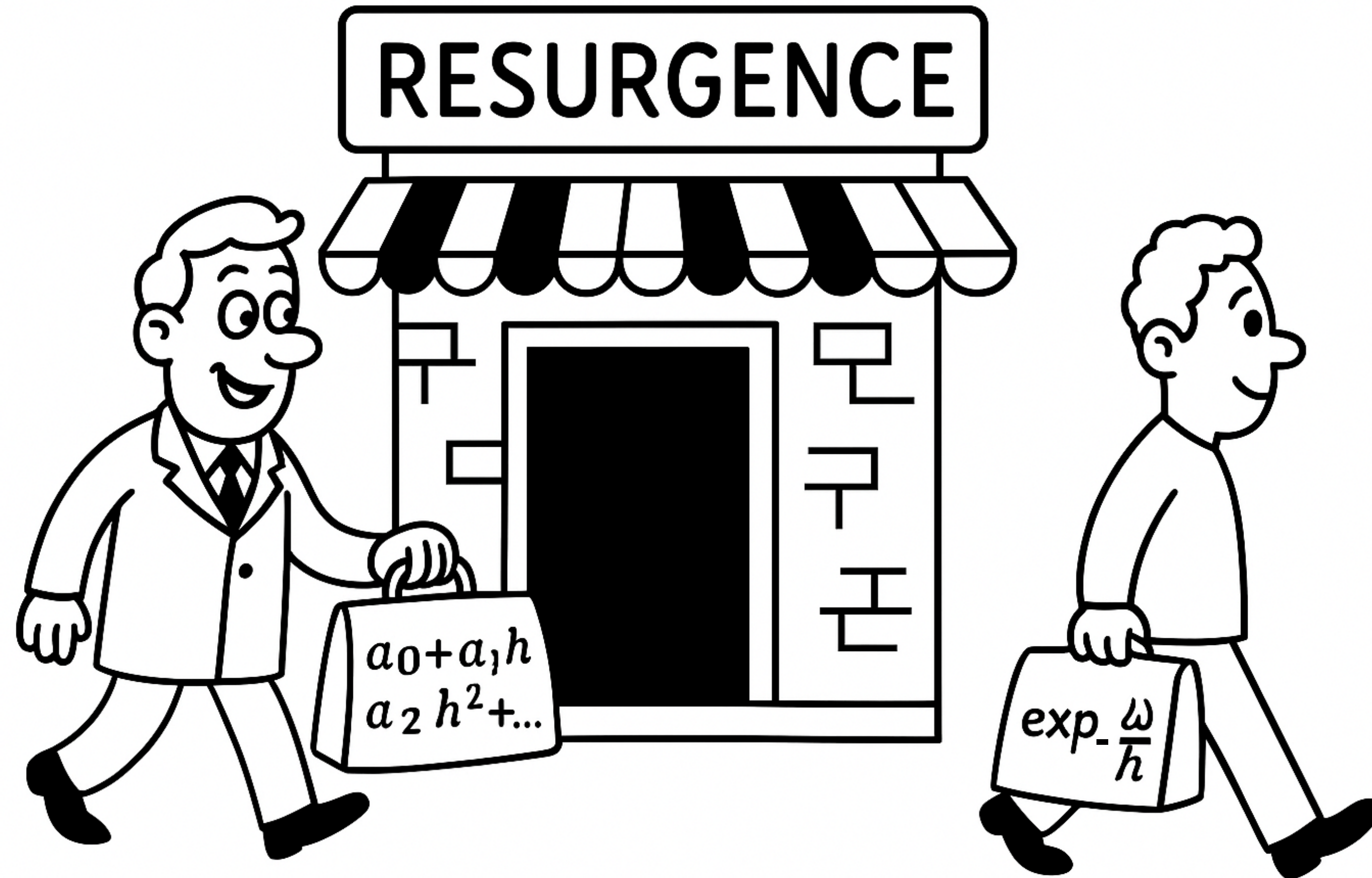
1. Motivation
2. An overview of resurgence
3. Application to complex Chern-Simons on the complement of a hyperbolic knot
4. Conclusions

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Resurgence: going beyond perturbation theory



Divergent series are ubiquitous in physics

QFT

- Exact WKB
- Integrable field theories

Topological String

- Topological String/Spectral Theory (TS/ST) correspondence for toric Calabi-Yau (CY) 3-folds
- Holomorphic anomaly equation (HAE)

Complex Chern-Simons

- Quantum invariants of knots and 3-manifolds
- Dimofte-Garoufalidis perturbative invariants of hyperbolic knots

2D (Super) Gravity and JT Gravity

- Painlevé I and II
- Topological Recursion

- M. Serone, *Resurgence in integrable field theories*
- M. Mariño, *Les Houches lectures on non-perturbative topological strings*
- I. Aniceto, G. Basar, and R. Schiappa, *A Primer on Resurgent Transseries and Their Asymptotics*

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Recent Applications

Resurgence and **renormalons** in the one-dimensional Hubbard model

Resurgence and **renormalons** in **integrable sigma models**

On the Structure of **Trans-Series in Quantum Field Theory**

Mariño, Serone, Schwick, Miravitllas, Reis,
Sberveglieri, Di Pietro, ...

Resurgent structure of Topological String on **toric Calabi-Yau** threefolds

Resurgent structure of the **Refined Topological String** on **non-compact** threefolds

Resurgent structure of **Topological String** on **compact Calabi-Yau** threefolds

Gu, Mariño, Grassi, Pioline, Alexandrov,
Kashani—Poor, Klemm, Aniceto, Alim, Saha,
Teschner, Tulli, Rella, **VF**, ...

Resurgent structure of complex Chern-Simons on the complement of **hyperbolic knots**

Resurgent structure of complex Chern-Simons on **Siefert fibered spheres**

Garoufalidis, Mariño, Gu, Wheeler,
Andersen, Mistergard, Sauzin, **VF**, ...

Resurgence in 2D **Quantum Gravity** and 2D **Quantum Super Gravity** (SUGRA)

Resurgence in JT Gravity (*in progress*)

Schiappa, Eynard, Iwaki, Mariño, Schwick,
Bridgeland, ...

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The Borel transform

Definition: The **Borel transform** is a formal map $\mathcal{B}: \mathbb{C}[[\hbar]] \rightarrow \mathbb{C}\delta + \mathbb{C}[[\zeta]]$

$$\mathcal{B}[\hbar^k] := \frac{\zeta^{k-1}}{\Gamma(k+1)}, \quad k \in \mathbb{Q} \setminus \{0, -1, -2, \dots\}$$

and then extend by countable linearity. Furthermore, one defines $\mathcal{B}[1] := \delta$ and δ is the convolution unit

The Borel transform is the formal inverse of the Laplace transform

$$\mathcal{L}[\zeta^{k-1}] := \int_0^\infty e^{-\zeta/\hbar} \zeta^{k-1} d\zeta = \Gamma(k+1) \hbar^k$$

In particular, we can deduce that for some $\omega \in \mathbb{C}$

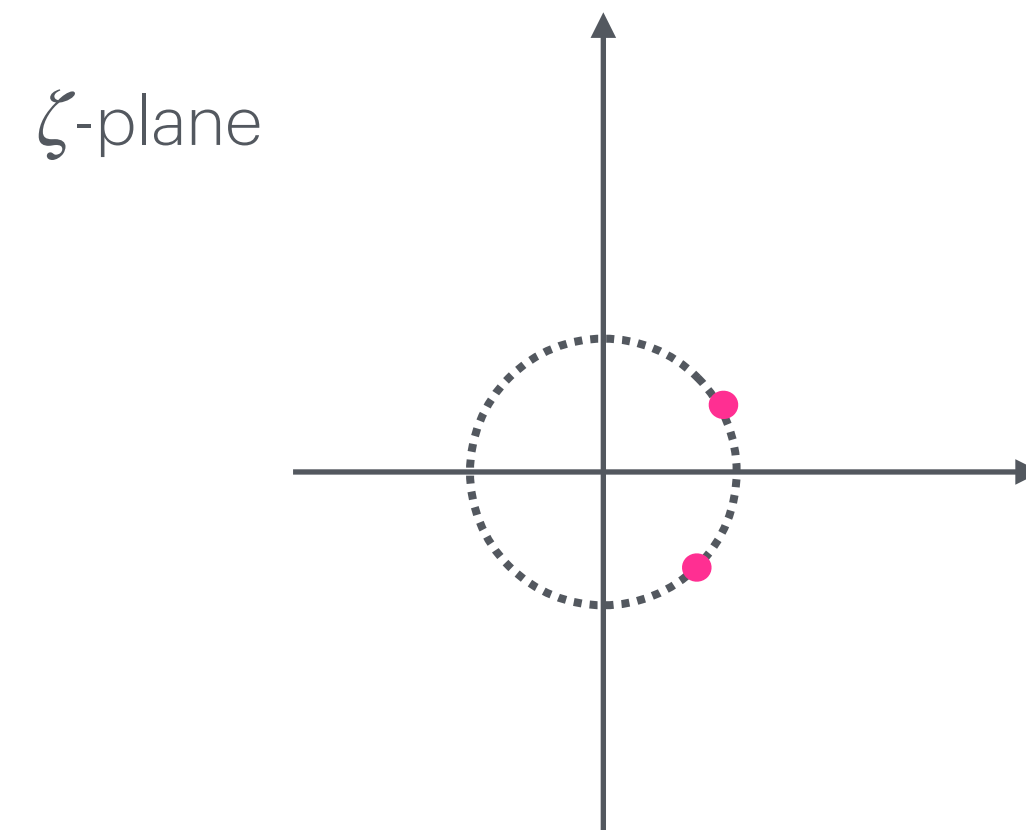
$$\mathcal{B}[\hbar^k e^{-\frac{\omega}{\hbar}}] = \frac{\zeta_\omega^{k-1}}{\Gamma(k+1)}, \quad k \in \mathbb{Q} \setminus \{0, -1, -2, \dots\}, \quad \zeta_\omega = \zeta - \omega$$

Gevrey series

Definition: A formal series $\tilde{\Phi}(\hbar) = \sum_{n=0}^{\infty} a_n \hbar^n \in \mathbb{C}[[\hbar]]$ is **Gevrey-1** if its coefficients a_n grow as

$$|a_n| \leq CA^n n!, \quad C, A > 0$$

A series $\tilde{\Phi} \in \mathbb{C}[[\hbar]]$ is Gevrey-1 if and only if its Borel transform $\tilde{\phi} := \mathcal{B}\tilde{\Phi} \in \mathbb{C}\{\zeta\}$ has a finite radius of convergence

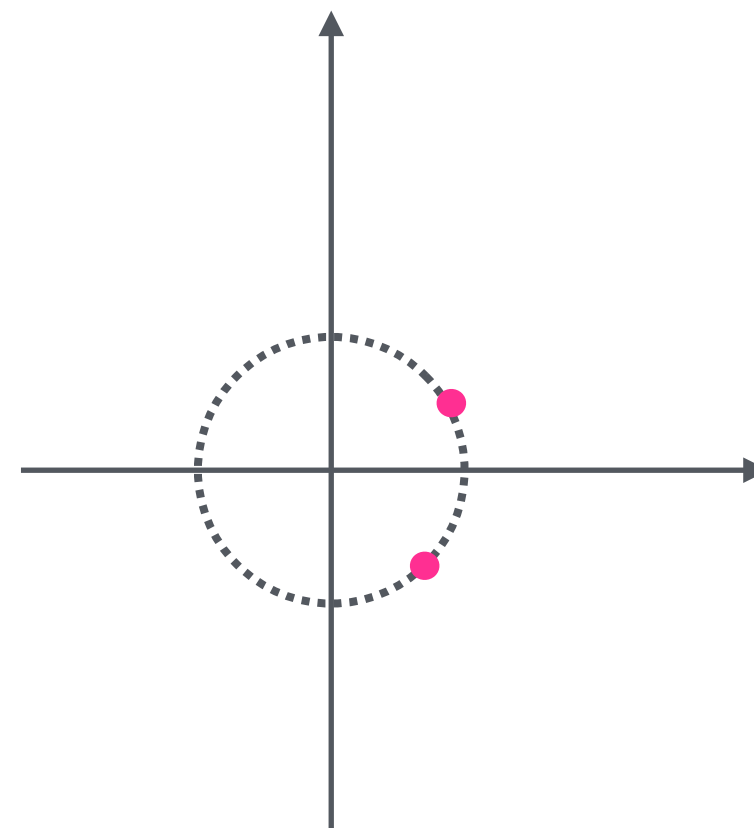


Resurgent series

Definition [Écalle]: A Gevrey-1 series $\tilde{\Phi}(\hbar) \in \mathbb{C}[[\hbar]]$ is resurgent if its Borel transform $\tilde{\phi}(\zeta) \in \mathbb{C}\{\zeta\}$ has **endless analytic continuation**

For every $L > 0$, there exists a discrete subset $\Omega_L \subset \mathbb{C}$ such that $\tilde{\phi}(\zeta)$ can be analytically continued along every path of length less than L , which avoids Ω_L starting from the same point ζ_0

The set of singularities is $\Omega = \bigcup_L \Omega_L$

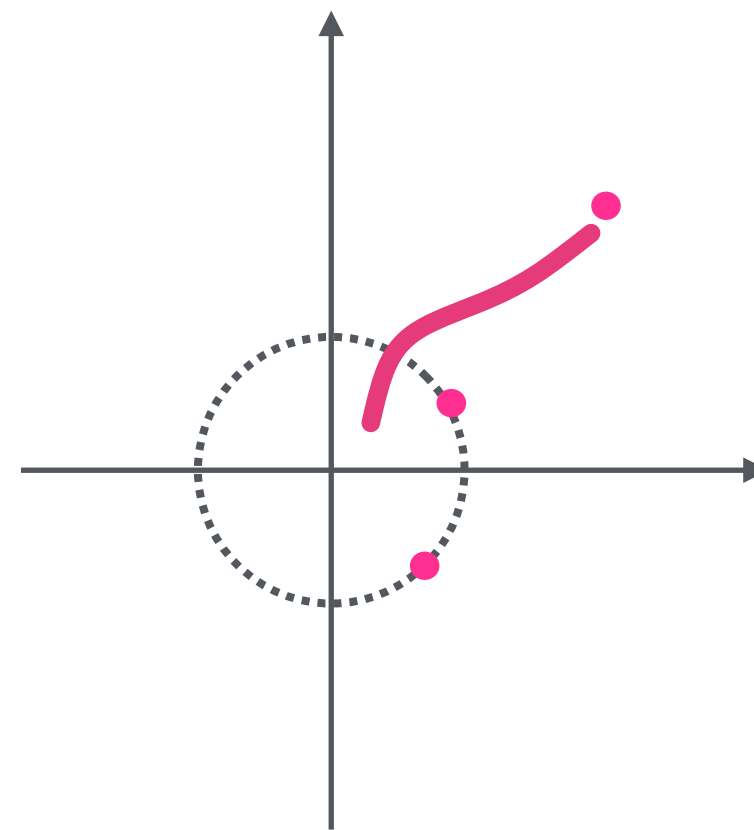


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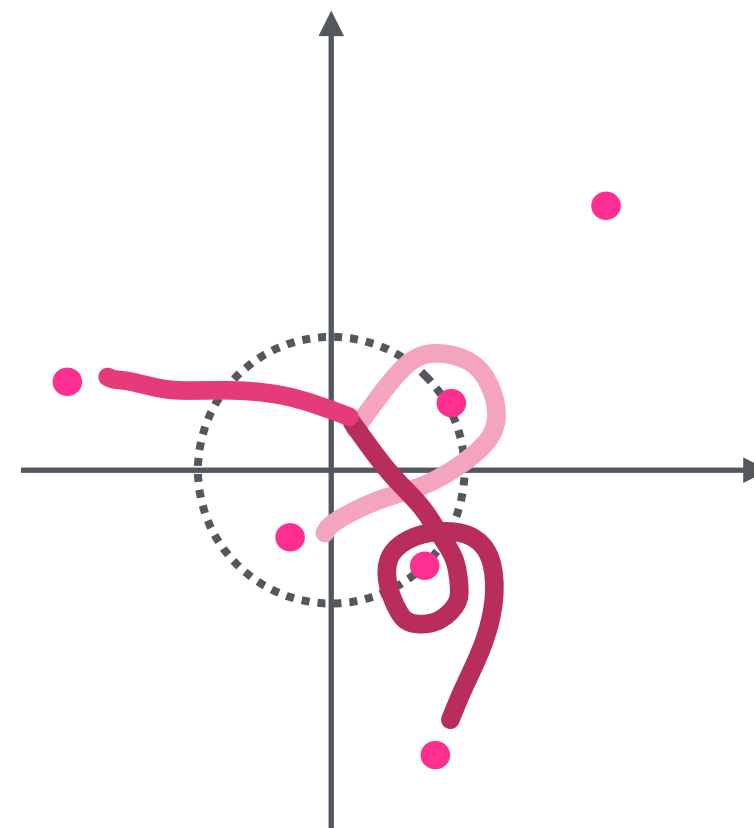


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New information hidden at the singularities

Example: the Euler series

$$\tilde{\Phi}(\hbar) = \sum_{n=0}^{\infty} n! \hbar^{n+1}$$



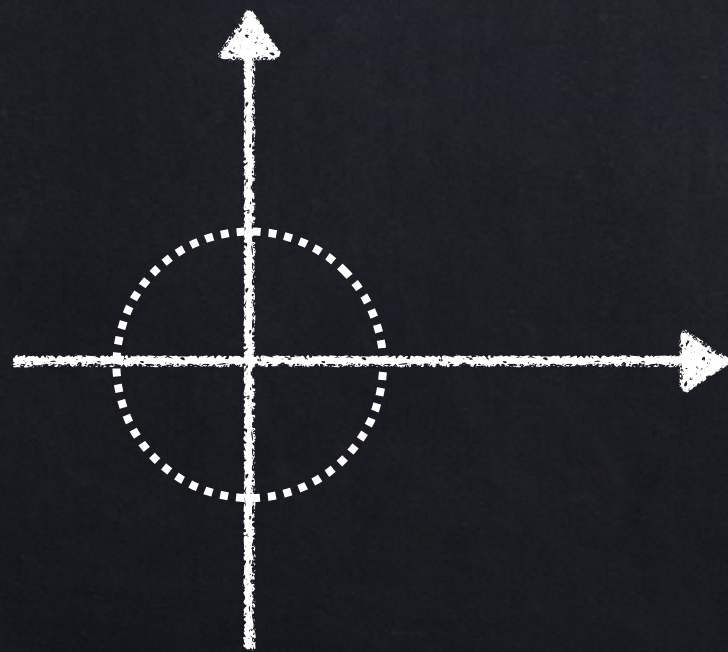
$$\tilde{\phi}(\zeta) = \sum_{n=0}^{\infty} n! \frac{\zeta^n}{n!}$$

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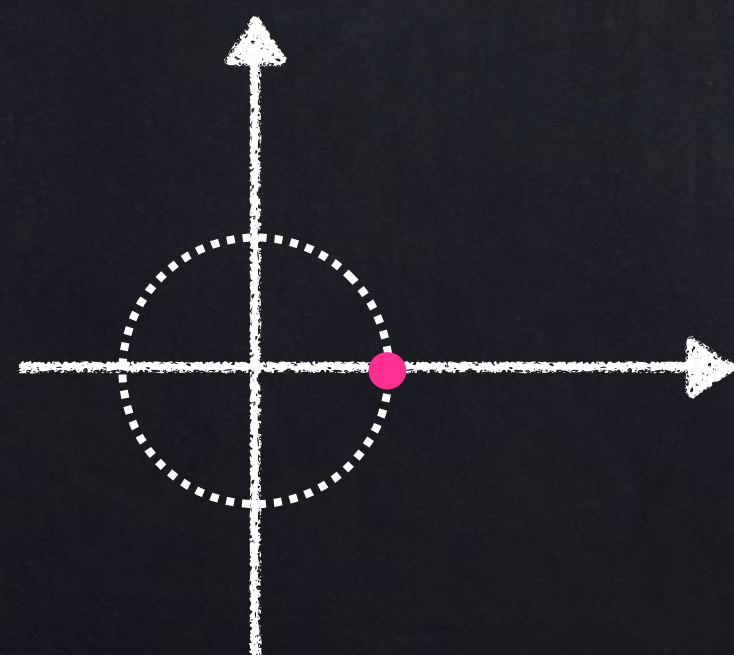


$$\tilde{\phi}(\zeta) = \sum_{n=0}^{\infty} \zeta^n \in \mathbb{C}\{\zeta\}$$



$$\hat{\phi}(\zeta) = \frac{1}{1-\zeta}$$

simple pole at $\zeta = 1$



(Counter)Example: Gevrey-1 but not resurgent

$$\tilde{\Phi}(\hbar) = \sum_{n=0}^{\infty} a_n n! \hbar^{n+1} \qquad a_n = \begin{cases} 1 & n = 2^k \\ 0 & \text{otherwise} \end{cases}$$



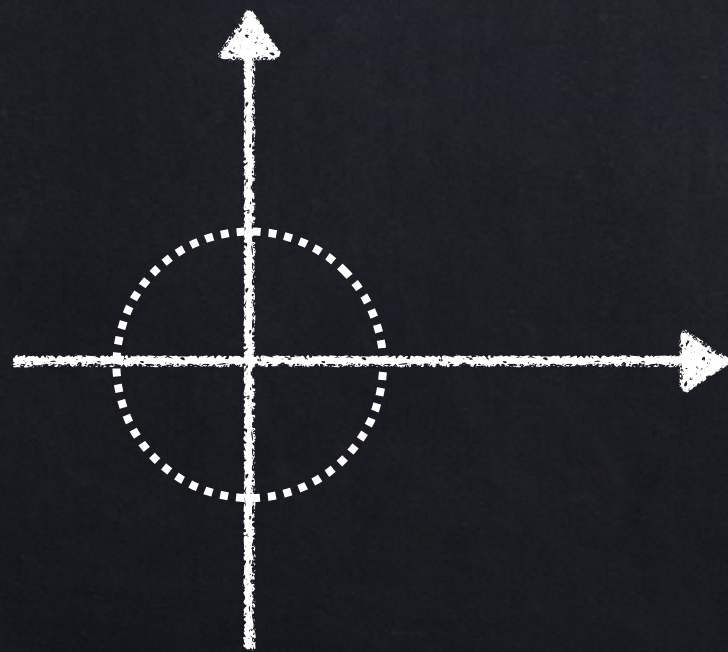
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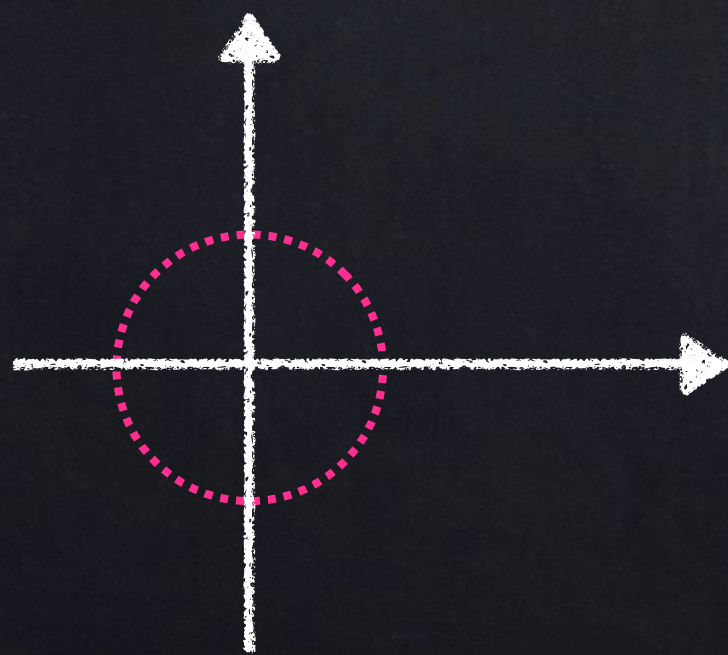
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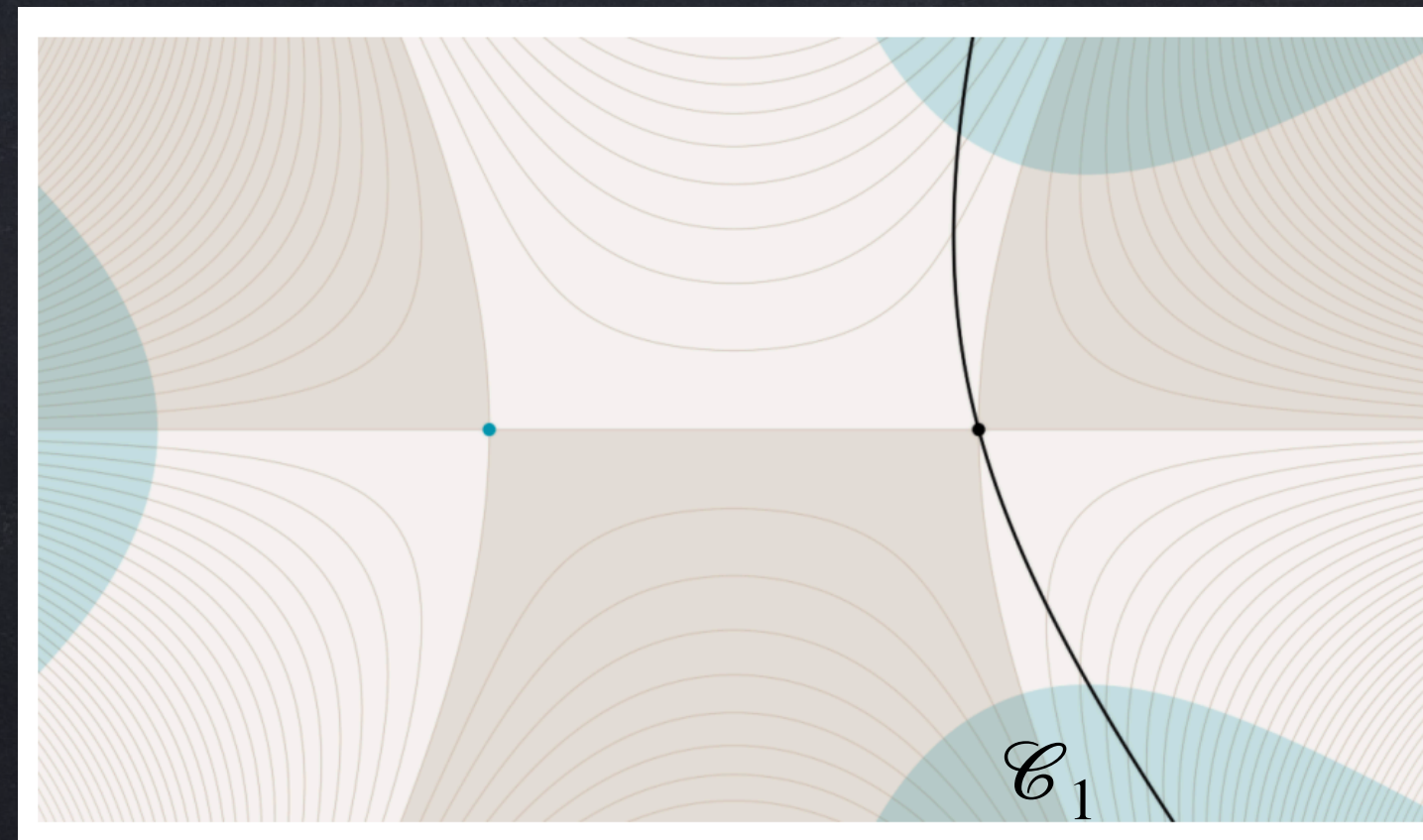
$\zeta_k^\ell = e^{\frac{2\pi i \ell}{2^k}}$ is a singular point for every
 $\ell \in \mathbb{Z}, k \in \mathbb{Z}_{>0}$

$\Rightarrow \tilde{\phi}$ can't be analytically continued

Example: the Airy function

The Airy function is a solution of a linear 2nd order differential equation

$$\text{Ai}(\hbar) = \int_{\mathcal{C}_1} e^{-\frac{4t^3 - 3t}{\hbar}} dt \sim_{\hbar \rightarrow 0} \tilde{\Phi}_1(\hbar) = e^{-1/\hbar} \hbar^{1/2} \sum_{k=0}^{\infty} \frac{\left(\frac{1}{6}\right)_k \left(\frac{5}{6}\right)_k}{2^k k!} (-\hbar)^k, \quad (a)_k = \frac{\Gamma(a+k)}{\Gamma(a)}$$



Example: the Airy function

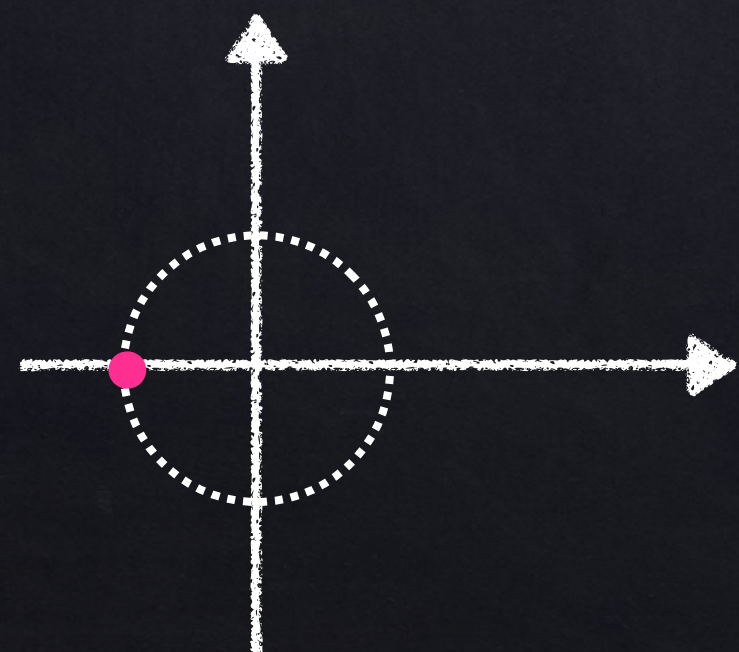
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$$\tilde{\phi}_1(\zeta) = \sum_{k=0}^{\infty} (-1)^k \frac{\left(\frac{1}{6}\right)_k \left(\frac{5}{6}\right)_k}{2^k k!} \frac{\zeta_1^{k-\frac{1}{2}}}{\Gamma(k + \frac{1}{2})}$$



$$\hat{\phi}_1(\zeta) = \zeta_1^{-1/2} {}_2F_1\left(\frac{1}{6}, \frac{5}{6}; \frac{1}{2}; -\frac{\zeta_1}{2}\right)$$



Logarithmic singularity at $\zeta = -1$

Example: the Airy function

Taking the analytic continuation at the singular point $\zeta = -1$

$$\hat{\phi}_1(\zeta + i\epsilon) - \hat{\phi}_1(\zeta - i\epsilon) = s_1 \zeta_{-1}^{-1/2} {}_2F_1\left(\frac{1}{6}, \frac{5}{6}; \frac{1}{2}; \frac{\zeta_{-1}}{2}\right)$$

$$s_1 \in \mathbb{C}$$

$$\zeta_{-1}^{-1/2} {}_2F_1\left(\frac{1}{6}, \frac{5}{6}; \frac{1}{2}; \frac{\zeta_{-1}}{2}\right) = \hat{\phi}_2(\zeta)$$

where $\hat{\phi}_2$ defines the analytic continuation of a different germ $\tilde{\phi}_2(\zeta) \in \mathbb{C}\{\zeta\}$

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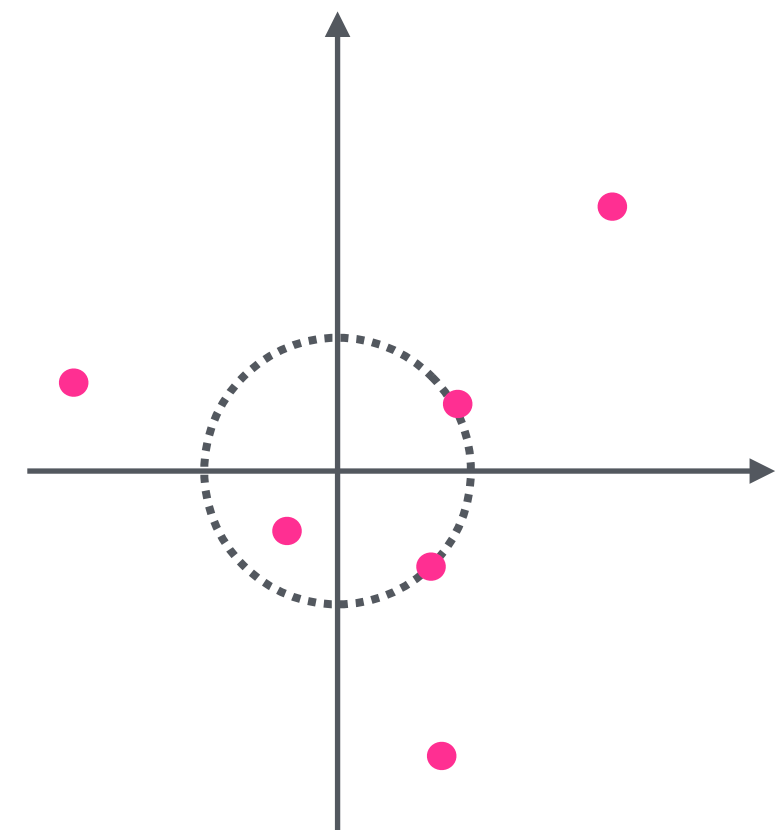
where $\hat{\phi}_2$ defines the analytic continuation of a different germ $\tilde{\phi}_2(\zeta) \in \mathbb{C}\{\zeta\}$

- Taking the analytic continuation of $\hat{\phi}_2$ at the singular point $\zeta = 1$, we recover the function $\hat{\phi}_1$ up to a constant S_2
- The new germ is the Borel transform of an independent solution of the differential equation $\tilde{\phi}_2(\zeta) = \mathcal{B}\tilde{\Phi}_2$

Resurgent structure

Let $\tilde{\Phi} \in \mathbb{C}[[\hbar]]$ be a Gevrey-1 resurgent series. The **resurgent structure** of $\tilde{\Phi}$ consists of the following data:

1. $\omega \in \Omega \subset \mathbb{C}$ the **singularities** of the Borel transform $\hat{\phi}(\zeta) \in \mathbb{C}\{\zeta\}$ in the Borel plane
2. $S_\omega \in \mathbb{C}$ the **Stokes constants** associated with each $\omega \in \Omega$
3. $\tilde{\phi}_\omega(\zeta) \in \mathbb{C}\{\zeta\}$ **new germs of analytic functions**, which resurges at each $\omega \in \Omega$



Resurgent structure

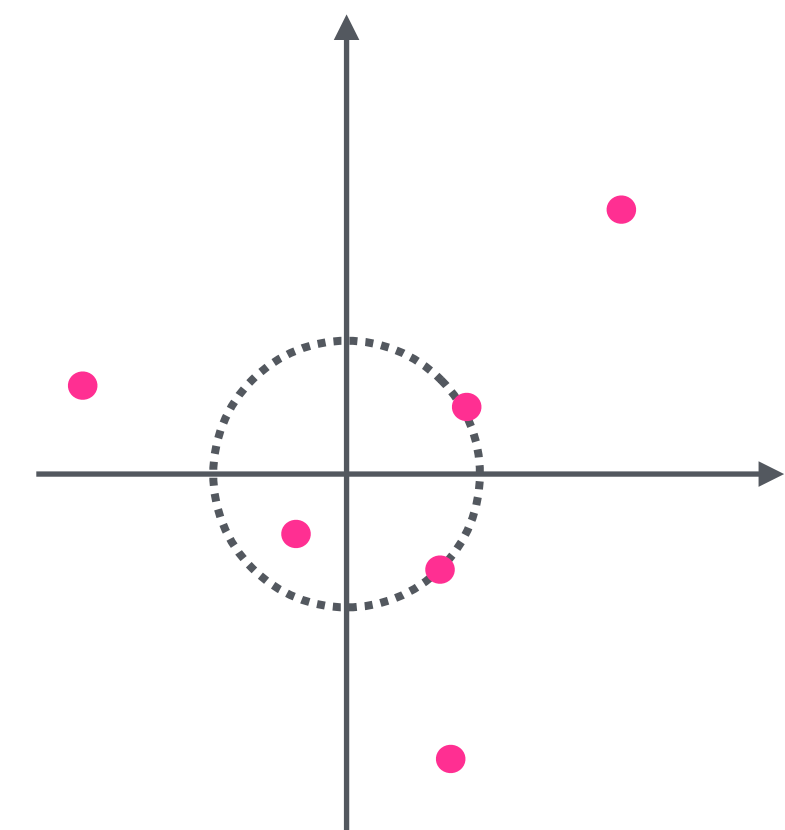
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New series resurge at the singularities

$$\hat{\phi}(\zeta) = -\frac{S_\omega}{2\pi i} \log(\zeta - \omega) \tilde{\phi}_\omega(\zeta - \omega) + \text{reg. for } \zeta \text{ near } \omega$$

$$\hat{\phi}(\zeta) = -\frac{1}{2\pi i} \frac{S_\omega}{\zeta - \omega} + \text{reg. for } \zeta \text{ near } \omega$$



Trans-series: the building blocks of non-perturbative corrections

From a simple resurgent series to exponentially sub-leading order corrections

$$\tilde{\Phi} \in \mathbb{C}[[\hbar]] \rightsquigarrow e^{-\omega/\hbar} S_{\omega} \tilde{\Phi}_{\omega}(\hbar) \in e^{-\omega/\hbar} \mathbb{C}[[\hbar]]$$

Trans-series are the building blocks of non-perturbative corrections, defined by a sequence of sub-leading order corrections

$$Z(\hbar; \sigma) = \sum_{k \geq 0} \sigma^k e^{-k \omega / \hbar} \tilde{\Phi}_{\omega}(\hbar)$$

where $\sigma \in \mathbb{C}$ is a free parameter

The **Stokes automorphisms** act as automorphisms on the space of trans-series, and compute the Stokes constants

Solutions of non-linear ODEs are usually trans-series [\[Baldino-Schiappa-Schwick-Vega, Mariño-Miravitllas, Delabaere\]](#)

Resurgence in practice

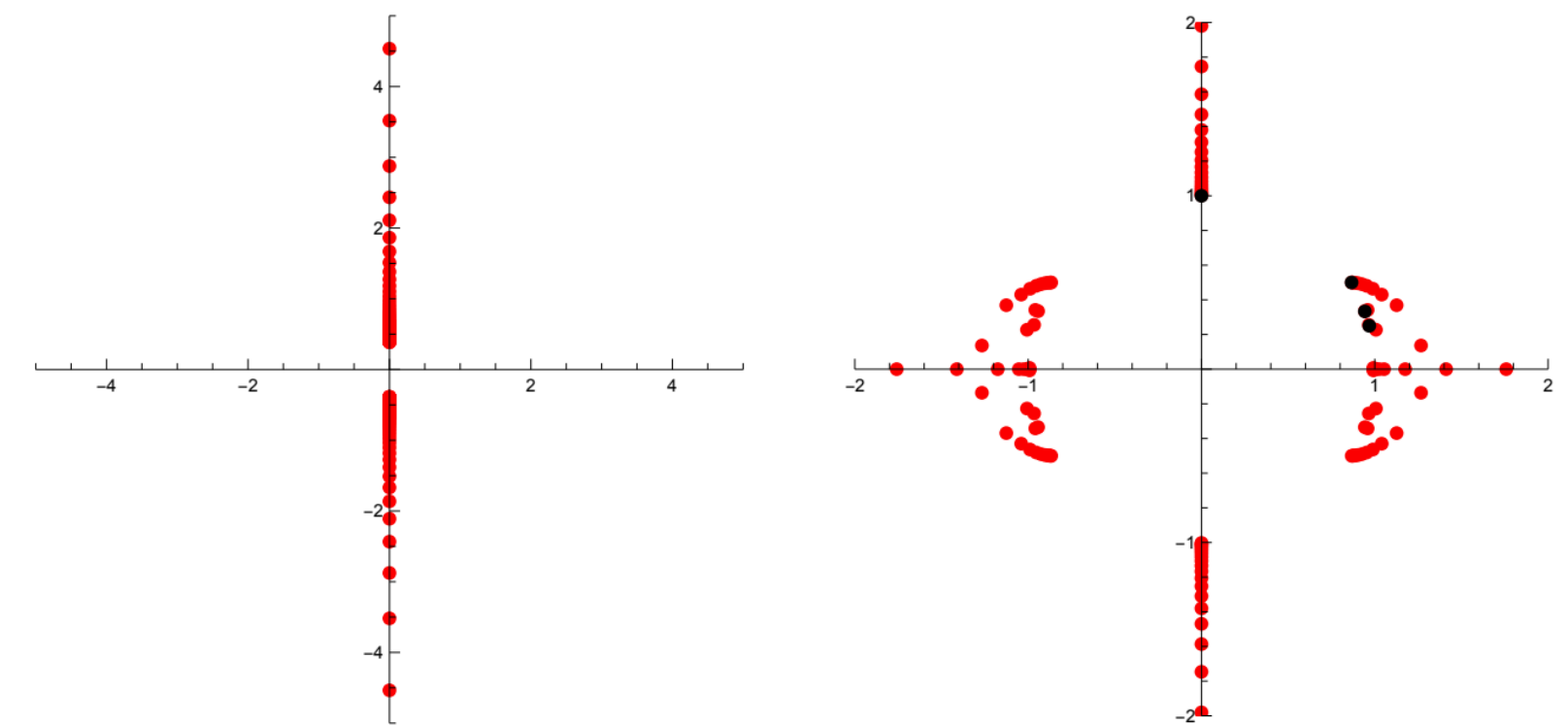
When it comes to doing explicit computations, we usually face the following situations:

- If the perturbative coefficients are known in closed form, then one can use the **Hadamard product**
- If many ($N \geq 100$) perturbative coefficients are available with high precision, then one can use **Borel-Padé**

$$\tilde{P}(\hbar) = \sum_{n=1}^N a_n \hbar^n \xrightarrow{\mathcal{B}} \tilde{p}(\zeta) = \sum_{n=1}^N \frac{a_n}{n!} \zeta^n \xrightarrow{\text{Padé}} \hat{p}(\zeta) = \frac{q_1(\zeta)}{q_2(\zeta)},$$

where $q_1, q_2 \in \mathbb{C}[\zeta]$

Plotting the zeros of $q_2(\zeta)$ we see how singularities in the ζ -plane distribute



Gu-Mariño, ArXiv: 2211.01403

Summability

Summation methods associate to a divergent series $\tilde{\Phi}$ an analytic function $\hat{\Phi}$

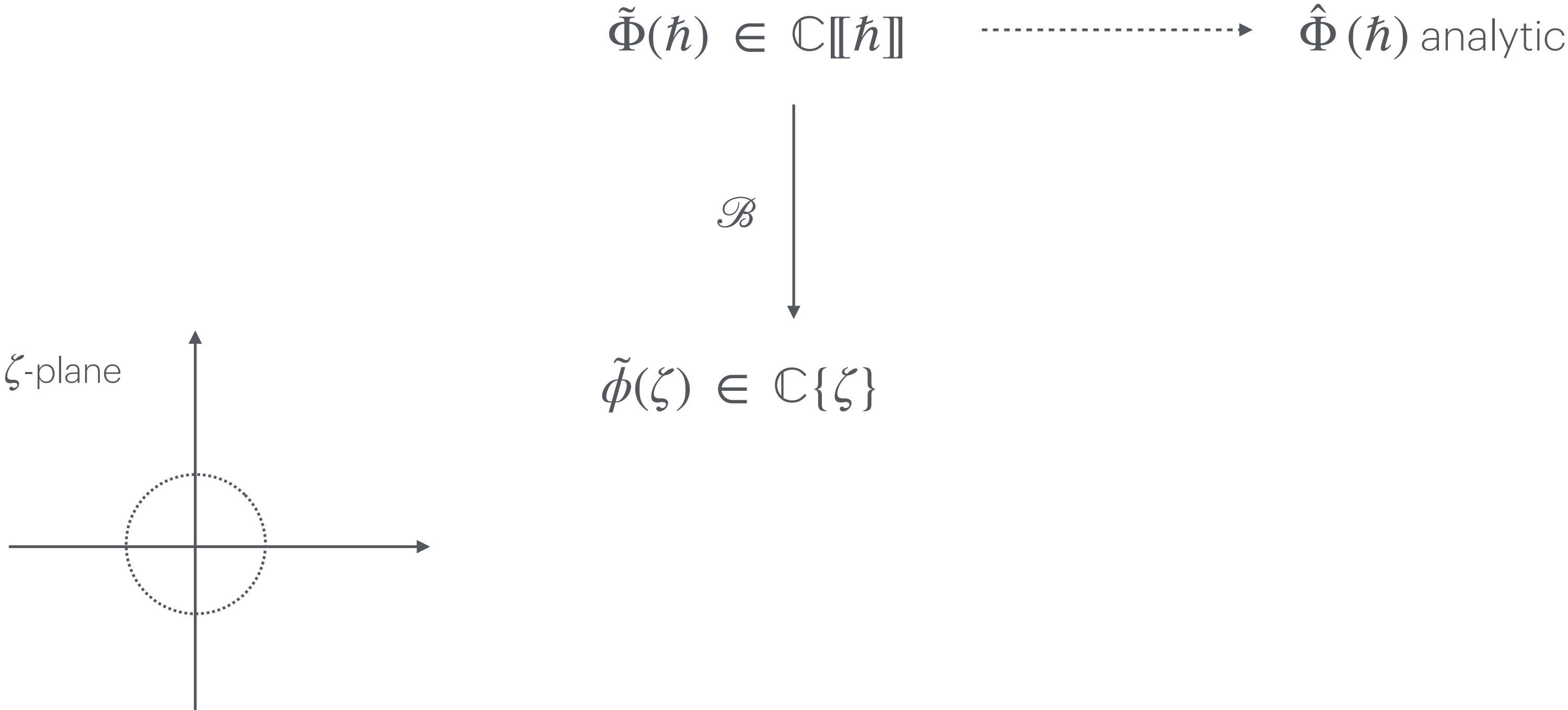
$$\tilde{\Phi}(\hbar) \in \mathbb{C}[[\hbar]] \xrightarrow{\quad ??? \quad} \hat{\Phi} \text{ analytic}$$

From formal to analytic

Borel-Laplace summation

Borel-Laplace summation works in three steps

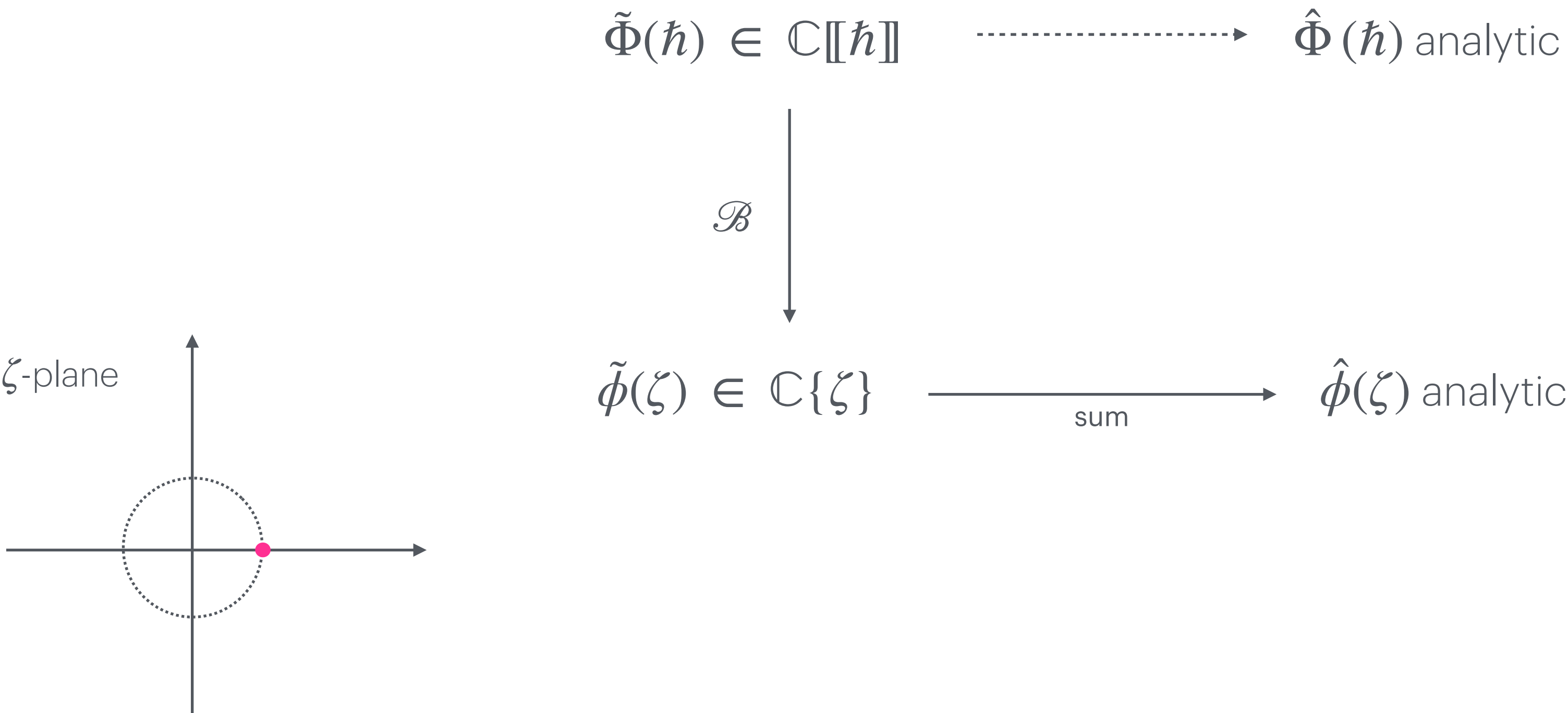
- 1. The formal **Borel transform** $\mathcal{B}$



Borel-Laplace summation

Borel-Laplace summation works in three steps

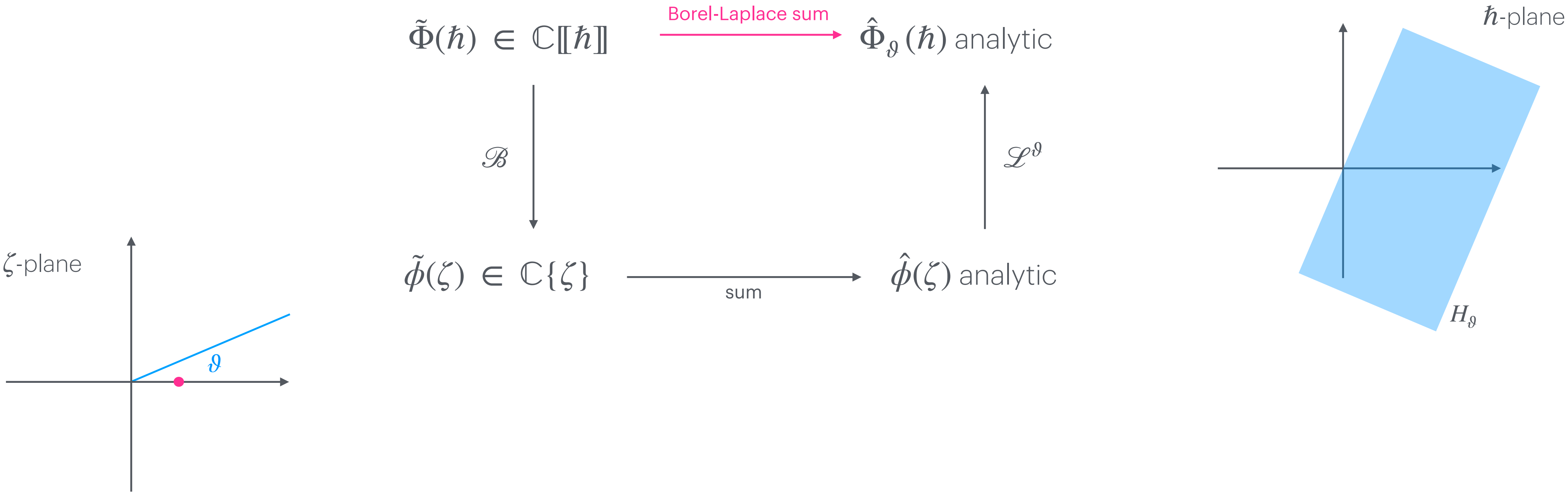
2. **Analytic continuation** in the ζ -plane



Borel-Laplace summation

Borel-Laplace summation works in three steps

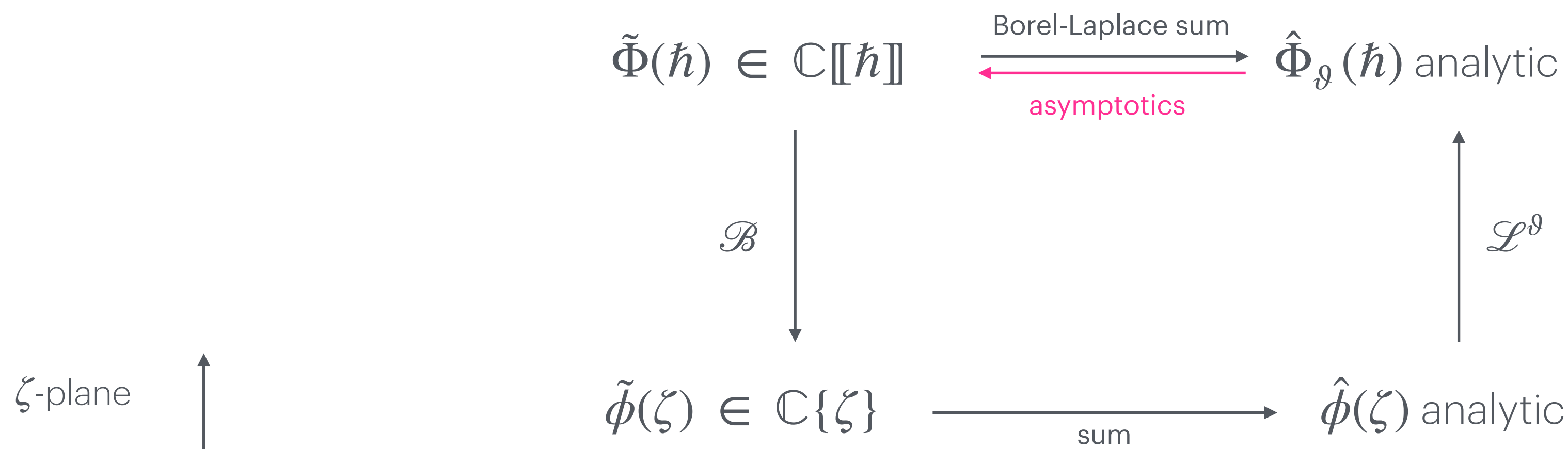
3. The **Laplace transform** $\mathcal{L}^\vartheta$ is defined along a ray in the direction ϑ that avoids the singularities



Borel-Laplace summation

Borel-Laplace summation works in three steps

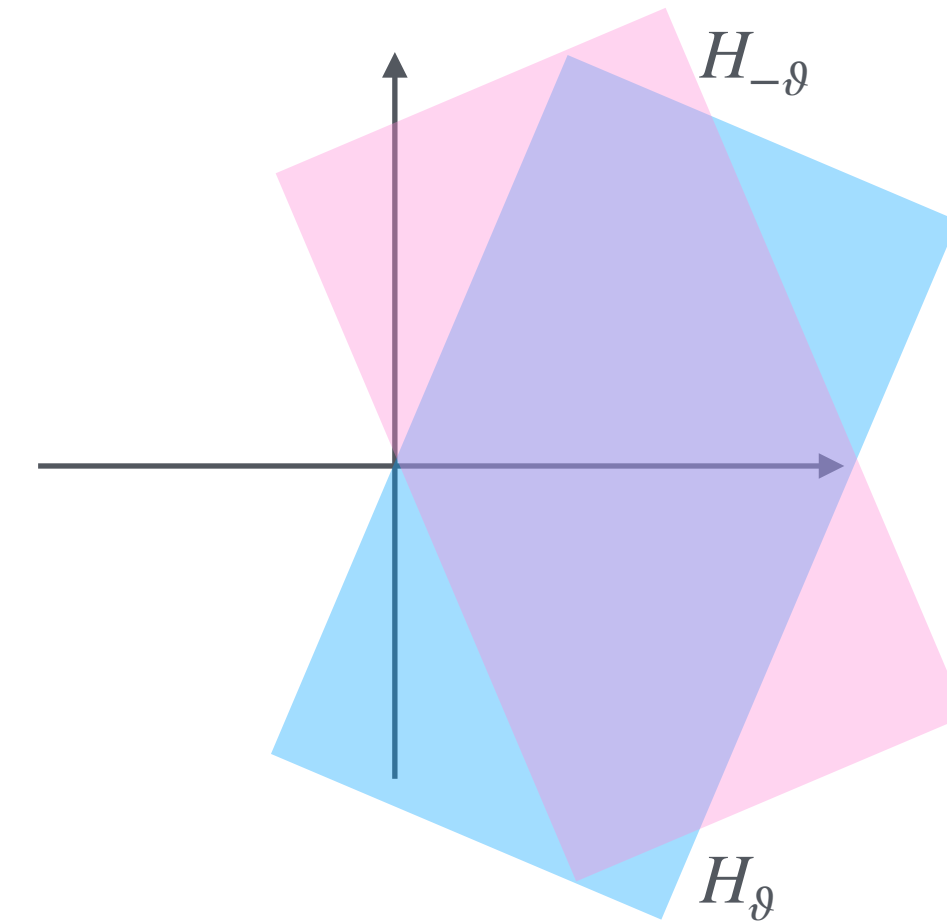
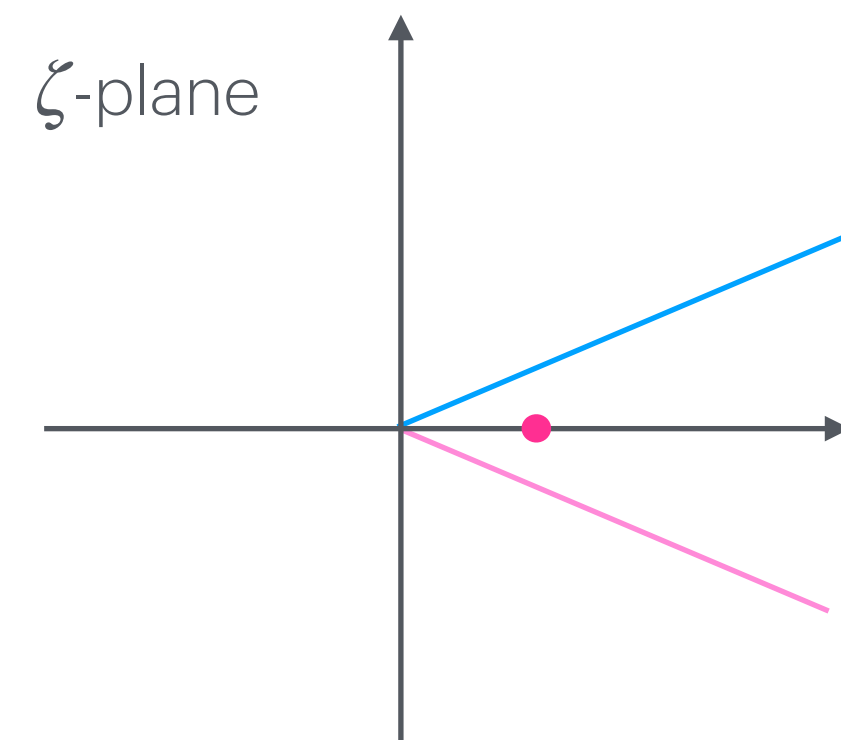
The Borel-Laplace sum $\hat{\Phi}$ is uniform (Gevrey) asymptotic to $\tilde{\Phi}$



Divergence is due to exponentially suppressed terms

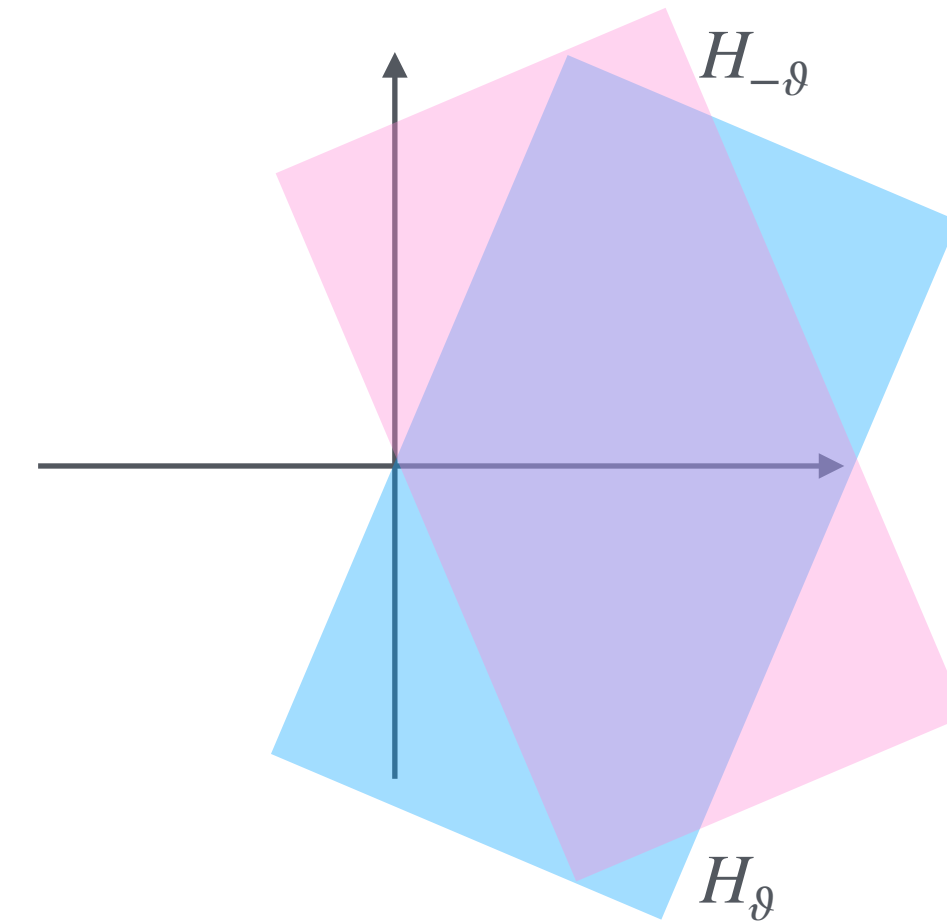
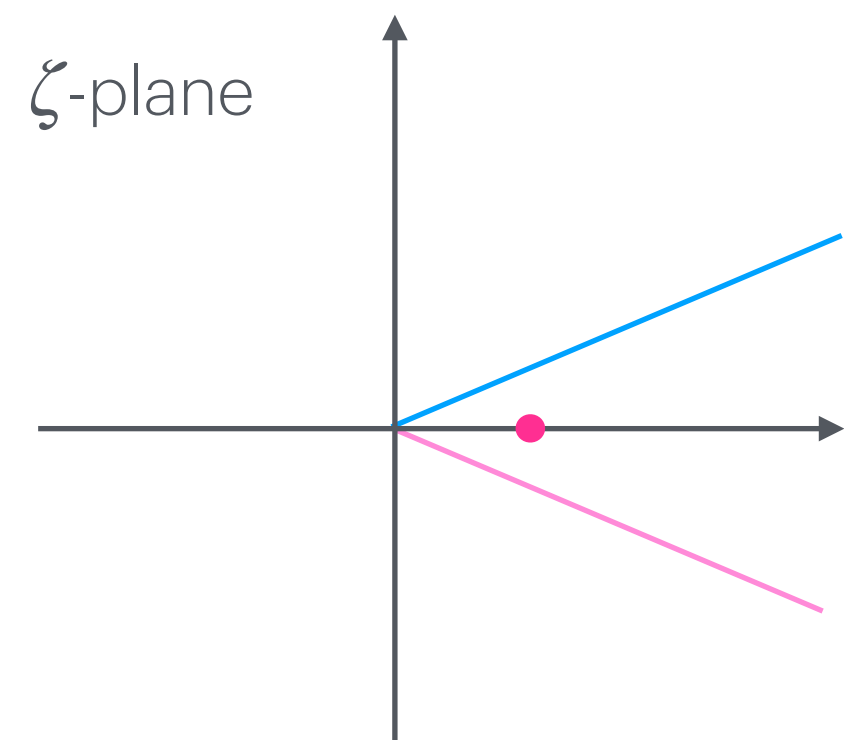
What is the effect of the singularities?

The exponentially small terms can be reconstructed from the divergent series



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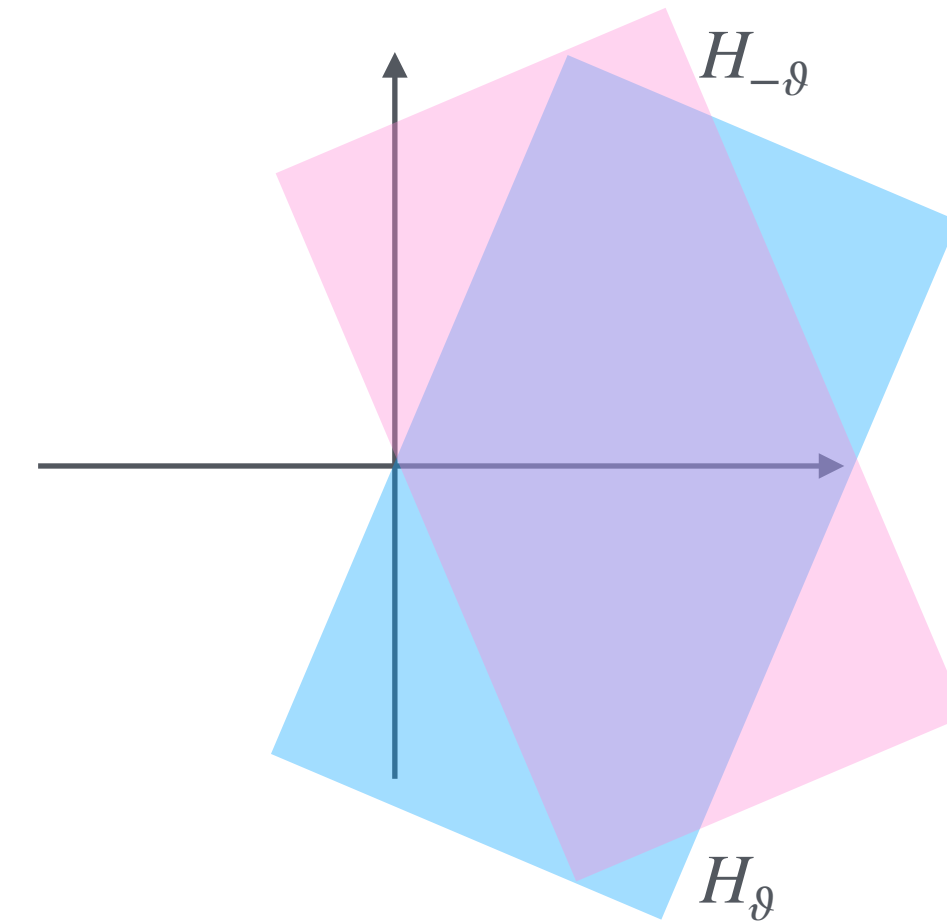
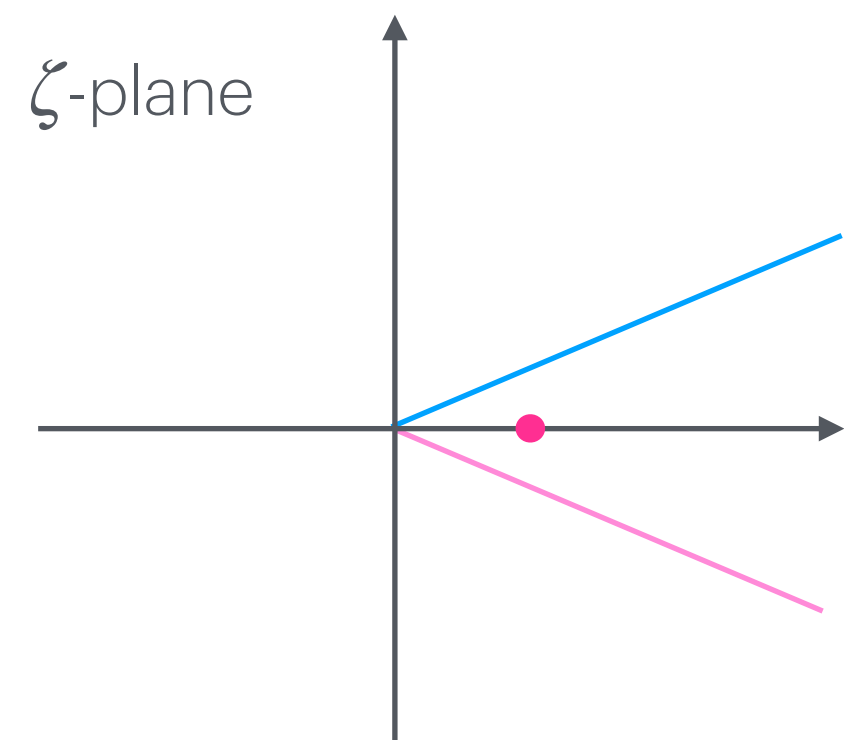


$$\left[\mathcal{L}^{\vartheta} - \mathcal{L}^{-\vartheta} \right] \frac{1}{1-\zeta} = \int_{\mathcal{C}_1} e^{-\zeta/\hbar} \frac{1}{1-\zeta} d\zeta = -2\pi i e^{-1/\hbar}$$

Varying the direction of the Borel-Laplace summation, the sum $\hat{\Phi}$ jumps giving exponentially small corrections

What is the effect of the singularities?

The exponentially small terms can be reconstructed from the divergent series



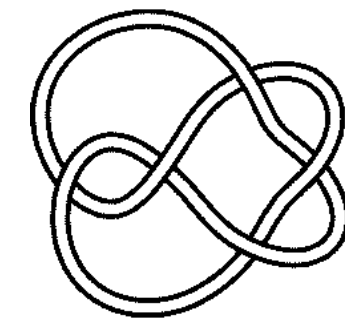
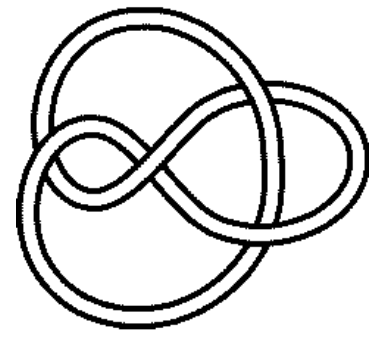
$$\left[\mathcal{L}^{\vartheta} - \mathcal{L}^{-\vartheta} \right] \frac{1}{1-\zeta} = \int_{\mathcal{C}_1} e^{-\zeta/\hbar} \frac{1}{1-\zeta} d\zeta = \underbrace{-2\pi i}_{\text{Residue}} \underbrace{e^{-1/\hbar}}_{\text{Singularity}}$$

The resurgence analysis of the Borel transforms already computed these contributions!

Plan

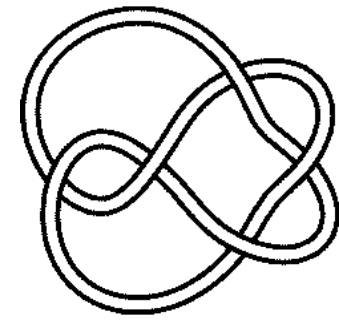
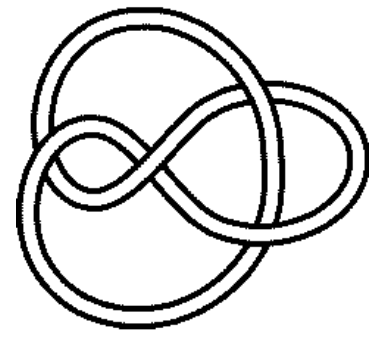
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Topological invariants of hyperbolic knots



$K \rightsquigarrow$ topological invariants [Jones, Kashaev]

The volume conjecture

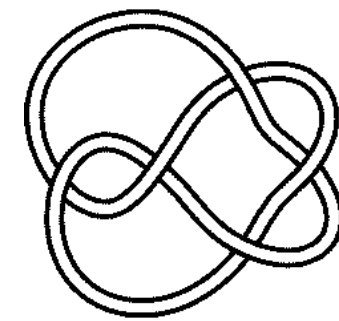
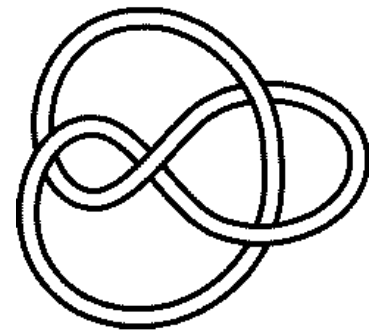


$K \rightsquigarrow$ topological invariants [Jones, Kaşhaev]

Volume conjecture [Kaşhaev]

$$\frac{2\pi \log |\langle K \rangle_N|}{N} \sim_{N \rightarrow \infty} \text{vol}(S^3 \setminus K)$$

Complex Chern-Simon theory on the complement of an hyperbolic knots



$K \rightsquigarrow$ topological invariants [Jones, Kashaev]

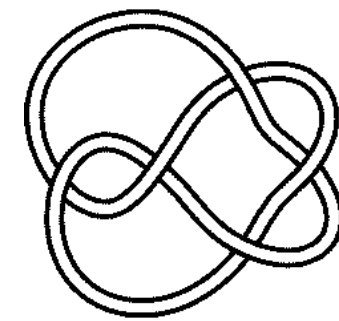
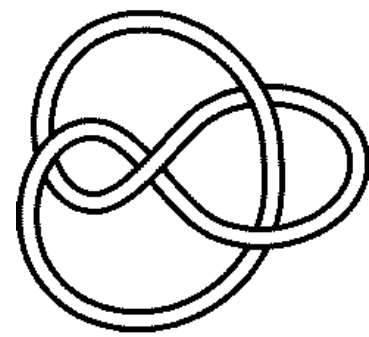
“Find an intrinsically three-dimensional definition of the Jones polynomial of knot theory”

[Atiyah]

$SU(2)$ Chern-Simons on $S^3 \setminus K$

[Witten]

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[Witten]

Analytic continuation of Chern-Simons theory is divergent, and it is expected to *localise* at $SL_2(\mathbb{C})$ flat connections [Witten]

Perturbative vs non-perturbative topological invariants of hyperbolic knots

Dimofte-Garoufalidis perturbative invariants [Dimofte-Garoufalidis, Garoufalidis-Strozer-Wheeler]

$$\tilde{Y}(\tau)$$

which represents the all orders asymptotics of Kashaev’s invariant, with leading order given by the volume, and it should represent $SL_2(\mathbb{C})$ Chern-Simons on $S^3 \setminus K$

Andersen-Kashaev state integrals [Andersen-Kashaev]

$$I_{0,0}(\tau)$$

which defines the partition function of a 3D Teichmüller TQFT

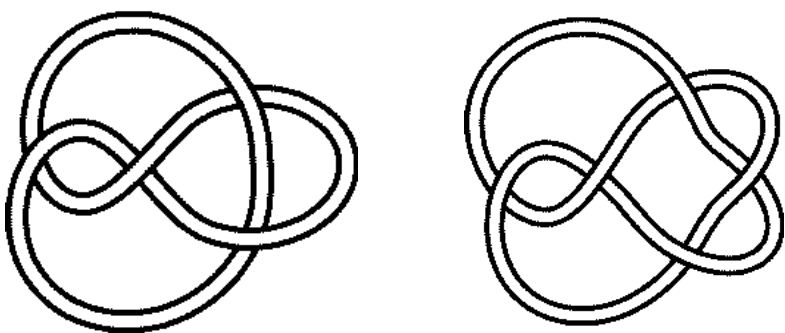
Andersen-Kashaev volume conjecture



Perturbative vs non-perturbative topological invariants of hyperbolic knots

Dimofte-Garoufalidis perturbative invarianats [Dimofte-Garoufalidis, Garoufalidis-Strozer-Wheeler]

$$\tilde{Y}(\tau) := \int \tilde{\Psi}(z, \tau)^B \mathbf{e}\left(-\frac{A}{2}z^2\tau\right) dz$$



$4_1 : (A = 1, B = 2)$ $5_2 : (A = 2, B = 3)$

Andersen-Kashaev state integrals and descendants [Andersen-Kashaev]

$$I_{m,\ell}(\tau) := \int_{\mathcal{I}_{\ell,\tau}} \Phi((z - \ell)\tau; \tau)^B \mathbf{e}\left(\frac{A}{2}z(z\tau + \tau + 1) + mz\tau\right) dz,$$

$\mathcal{I}_{\ell,\tau}$ Faddeev's dilogarithm

where $m = 0, \dots, A - 1$ et $\ell \in \mathbb{Z}$

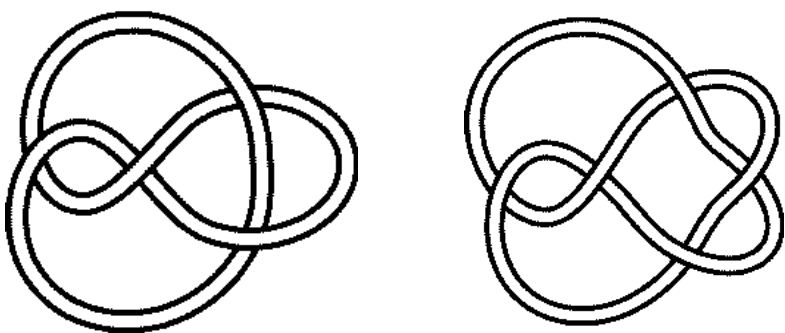
$I_{0,0}(\tau)$ is the AK state integral



Perturbative vs non-perturbative topological invariants of hyperbolic knots

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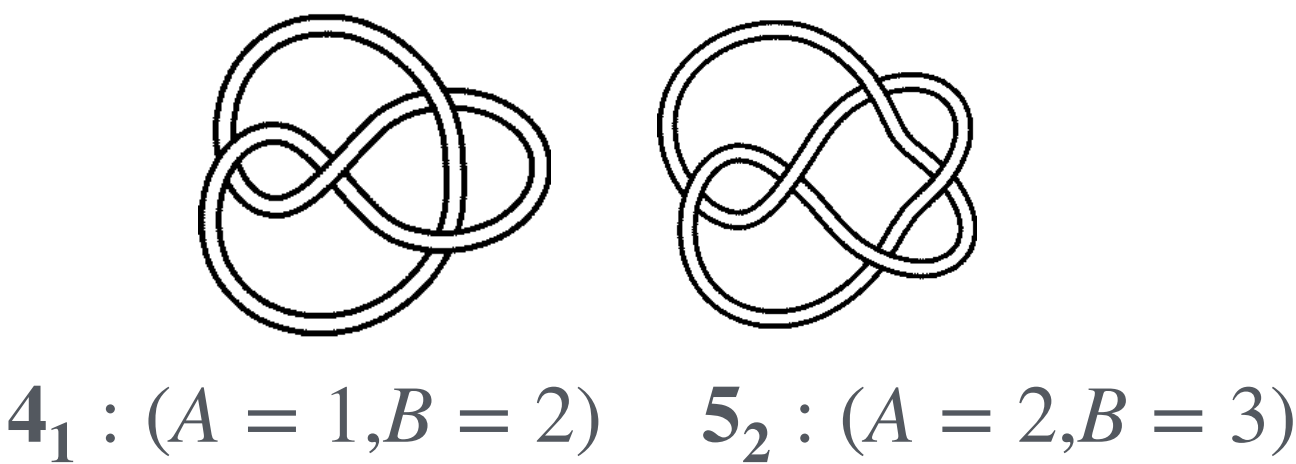
Andersen-Kashaev volume conjecture:



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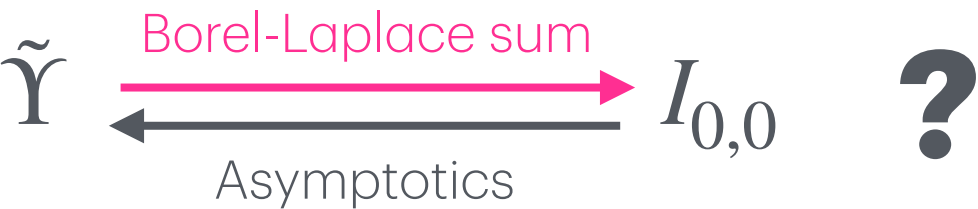
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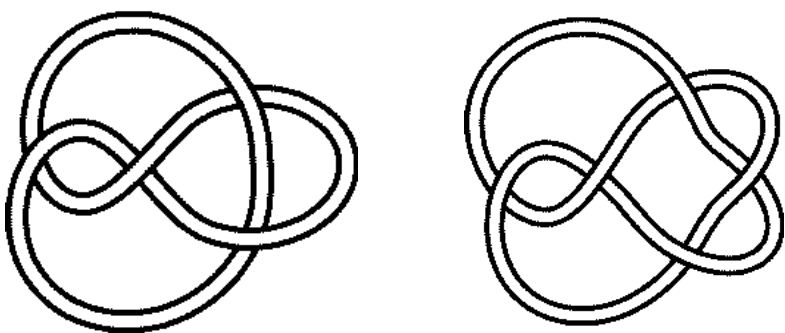
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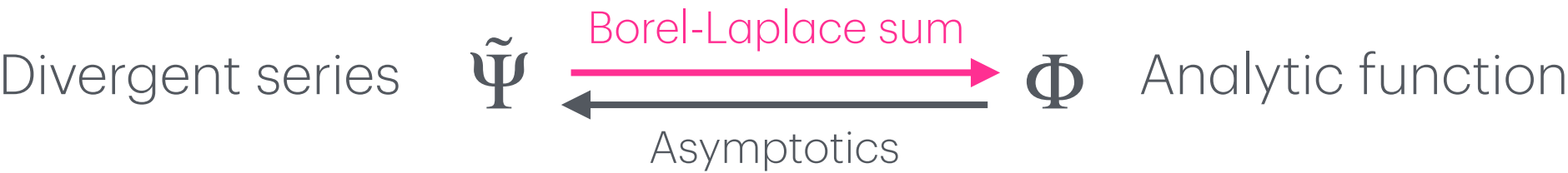
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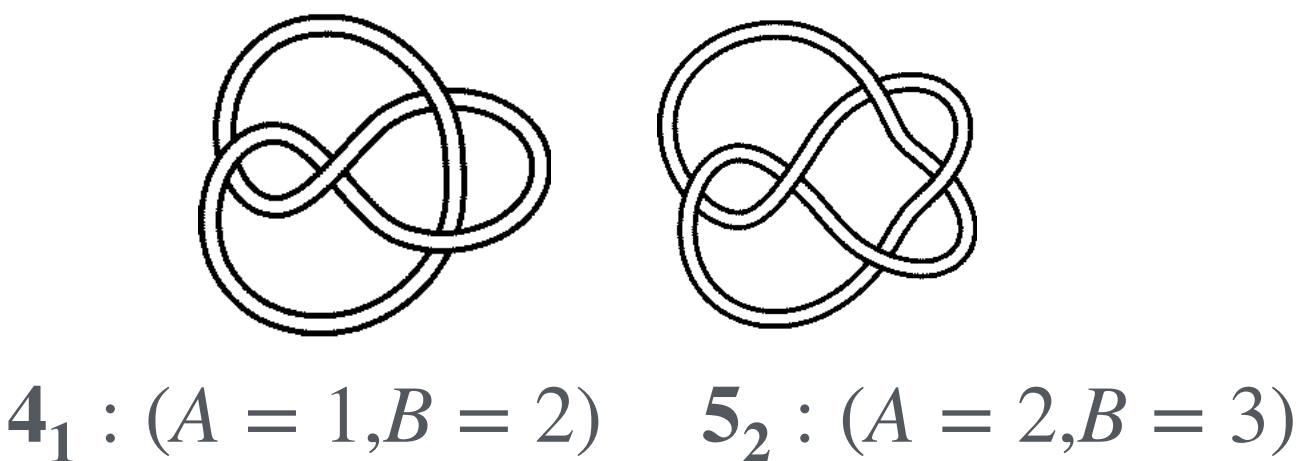


Conjecture [Garoufalidis-Gu-Mariño] The Borel sum of $\tilde{Y}$ is a linear combination of $I_{m,\ell}$

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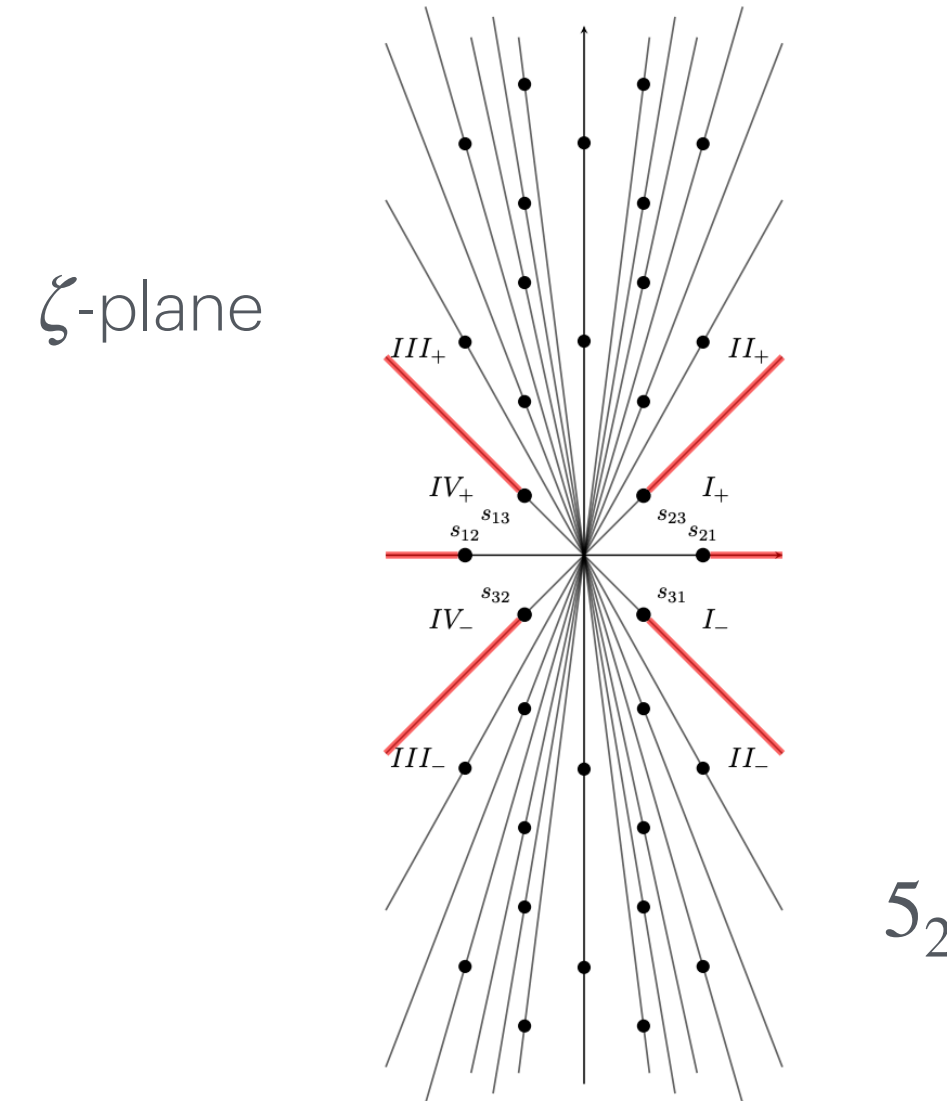
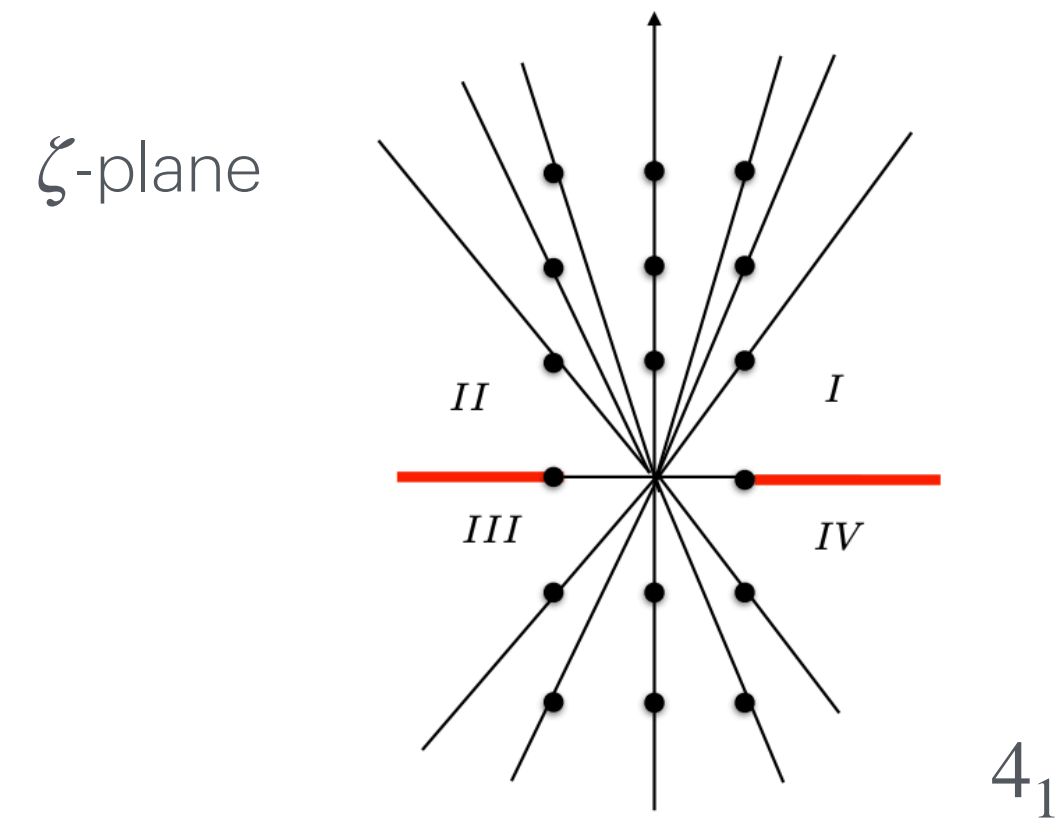
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Theorem [VF-Wheeler] The Borel sum of $\tilde{Y}$ is a linear combination of $I_{m,\ell}$ for the 4_1 and 5_2 knots

Resurgence of the DG perturbative invariants

- The singularities in the Borel plane are organized in a **peacock pattern**, and they are located at the **critical values of the Chern-Simons functional** [Garoufalidis-Gu-Mariño]



- The **Stokes constants** can be computed by solving a q-difference equation [Garoufalidis-Gu-Mariño]

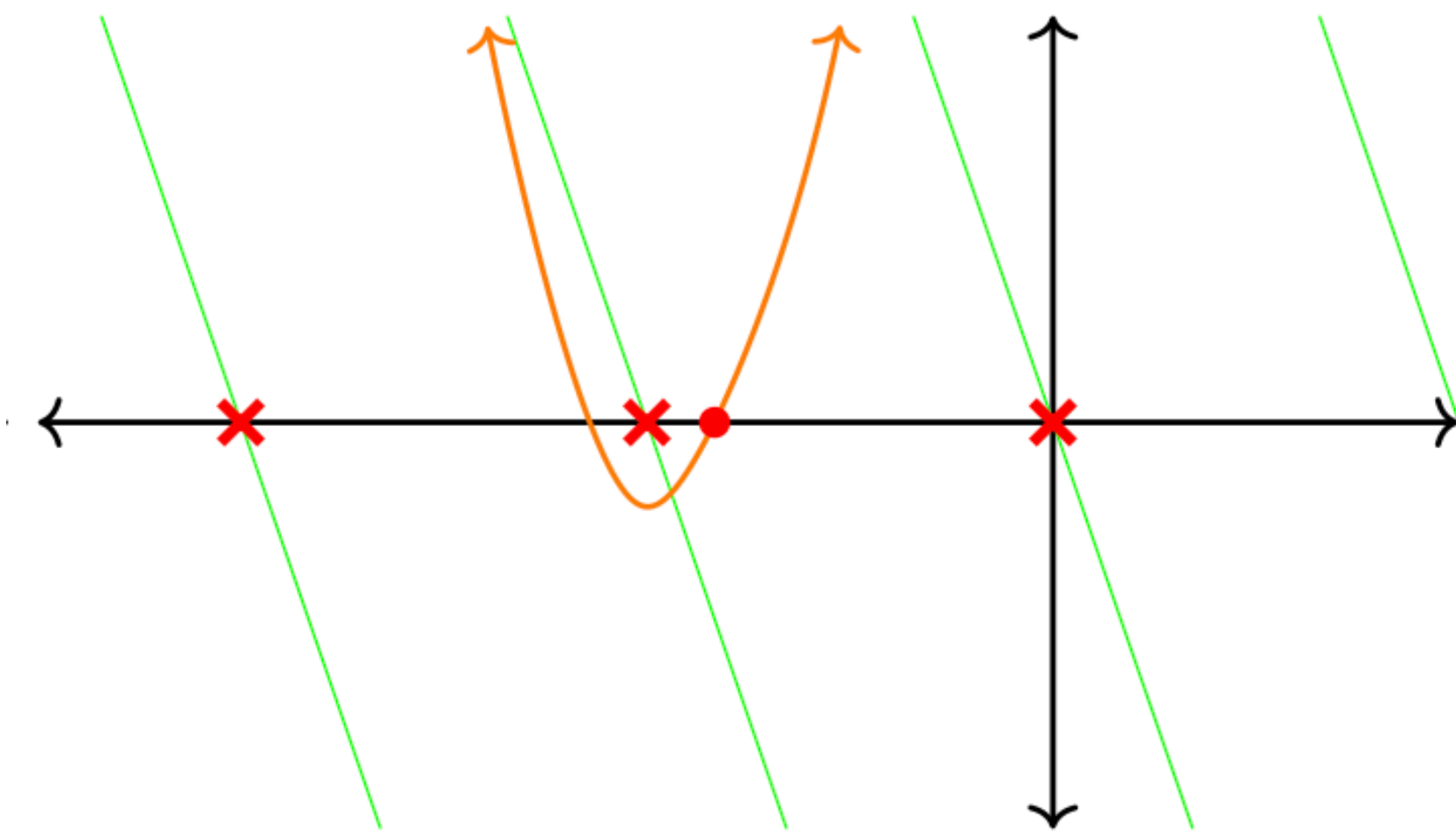
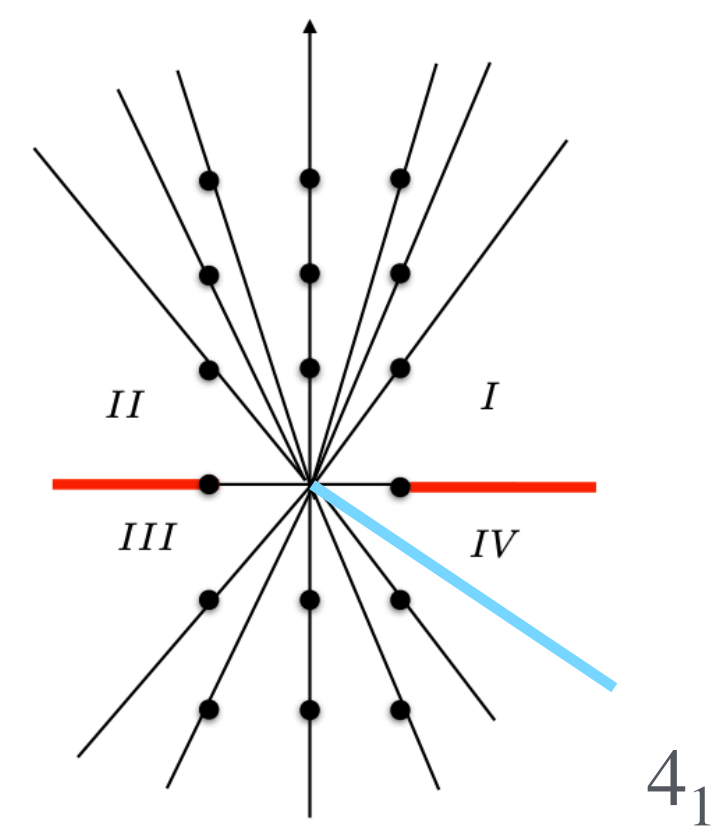
$$M_I(q) = \begin{pmatrix} 1 - q - 2q^2 - 2q^3 - 2q^4 & -1 + 2q + 3q^2 + 2q^3 + q^4 \\ 1 - 7q - 14q^2 - 8q^3 - 2q^4 & 1 + 10q + 15q^2 - 2q^3 - 19q^4 \end{pmatrix} + O(q^5).$$

$$M_{I_+}(q) = \begin{pmatrix} -1 - q^2 & 2 + 3q^2 & 1 + q + 3q^2 \\ -1 + 3q + 3q^2 & 1 - 6q - 3q^2 & -q \\ -\frac{5}{6} + 5q - \frac{53}{6}q^2 & -\frac{4}{3} - 4q + \frac{77}{2}q^2 & -\frac{1}{6} + \frac{29}{6}q + \frac{55}{2}q^2 \end{pmatrix} + O(q^3)$$

- Conjecture** [Garoufalidis-Gu-Mariño] The Stokes constants have an interpretation in terms of the **3D index** [Dimofte-Gaiotto-Gukov]

Resummation

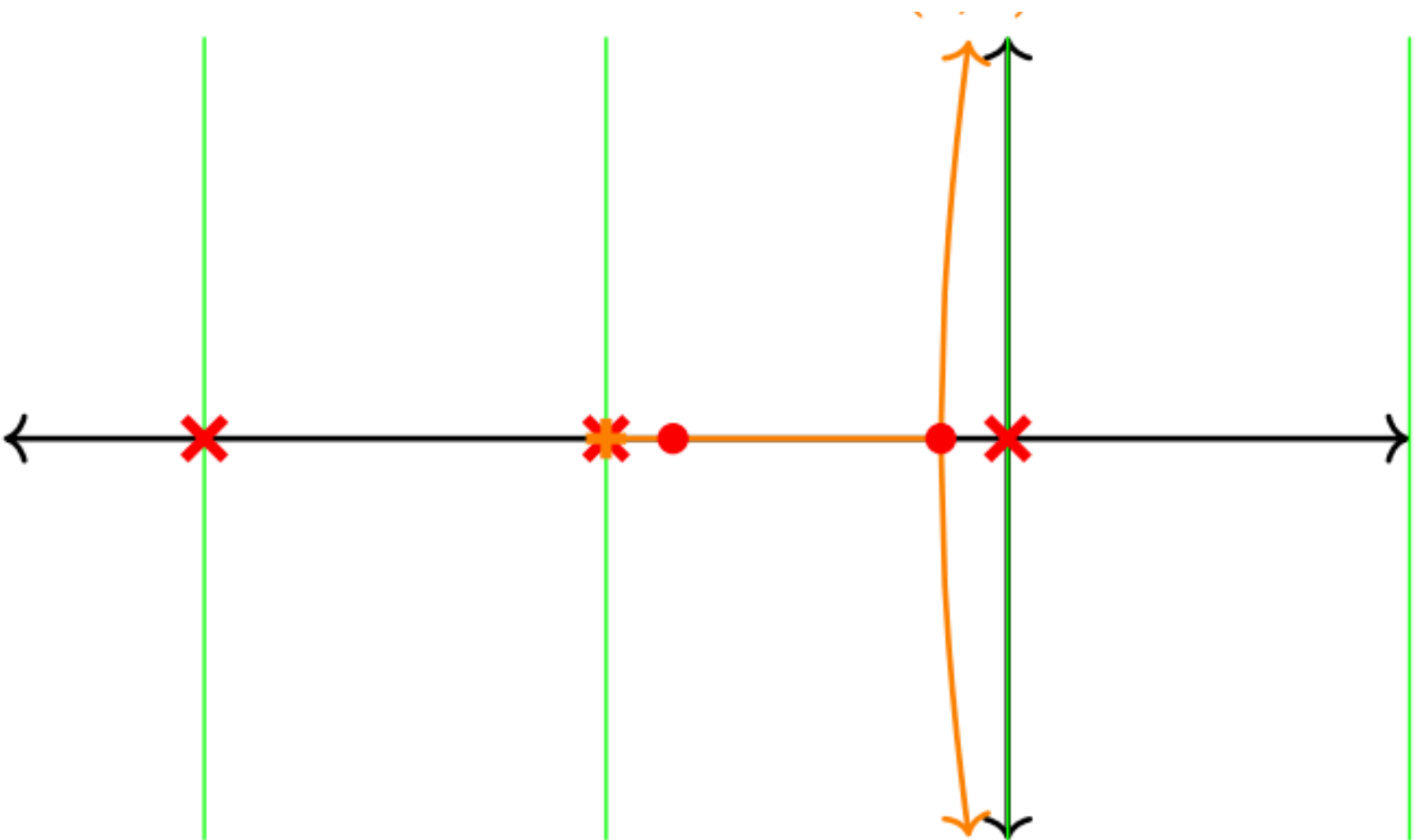
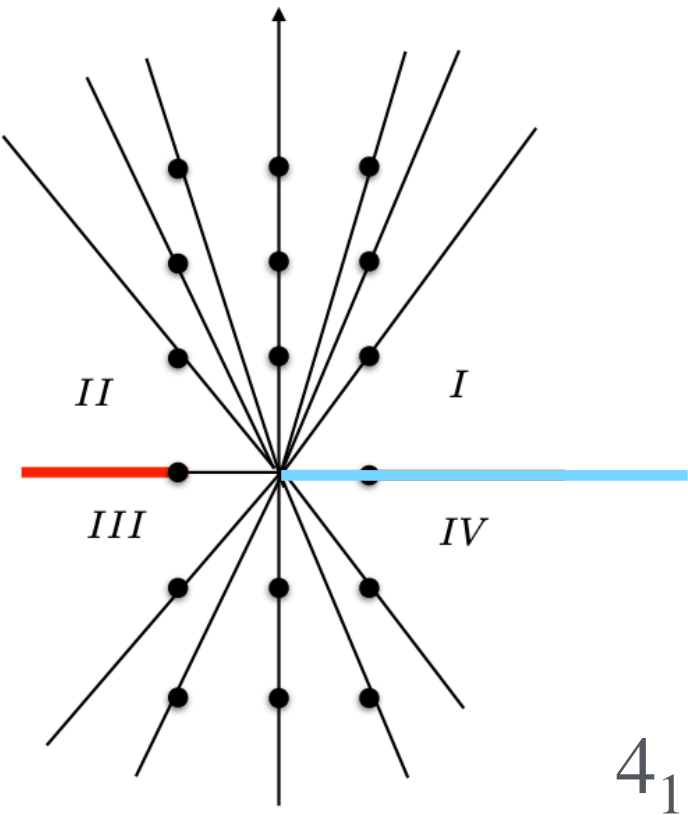
The Borel-Laplace sum $\hat{\Upsilon}_g$ of the DG invariants $\tilde{\Upsilon}$ is given by a **thimble integral**, whose integration contour can be described **algorithmically** and represented by the **integration contour** of the **state integrals** $I_{m,\ell}$



$$\hat{\Upsilon}_g = I_{0,0} + q^2 I_{2,-1} = I_{0,0} + I_{1,0}$$

Resummation

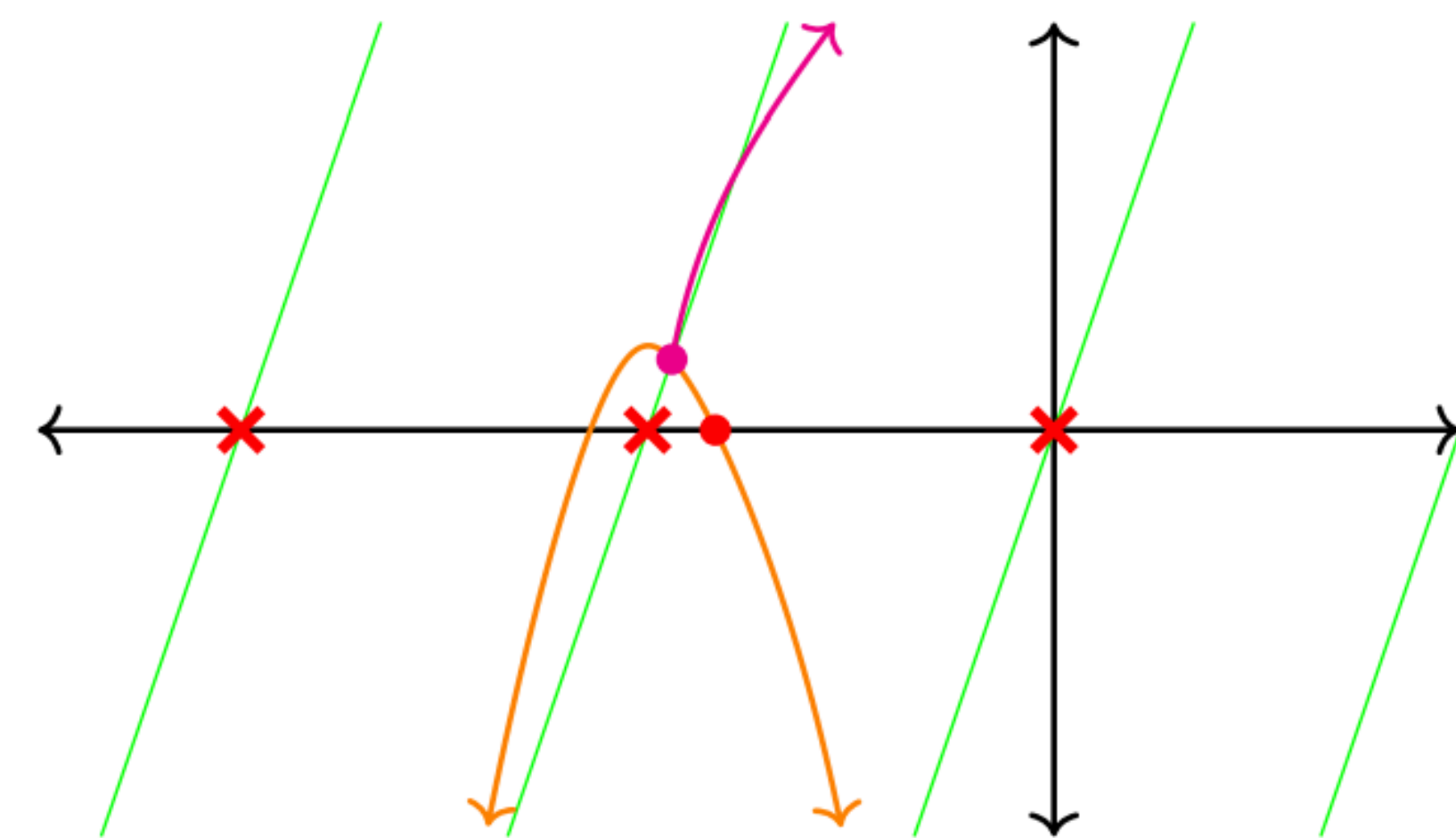
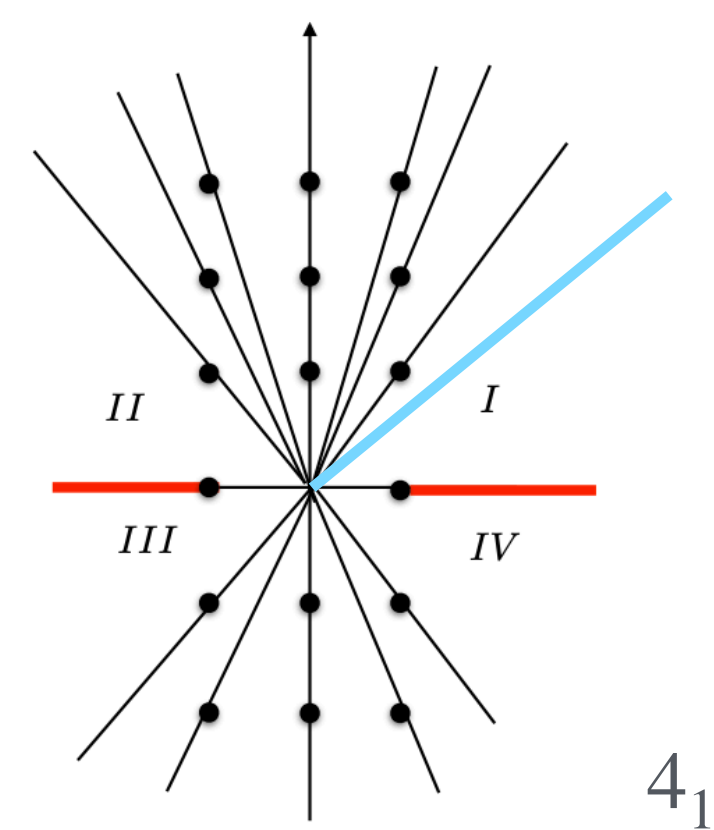
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Saddle connection, the resummation is not defined!

Resummation

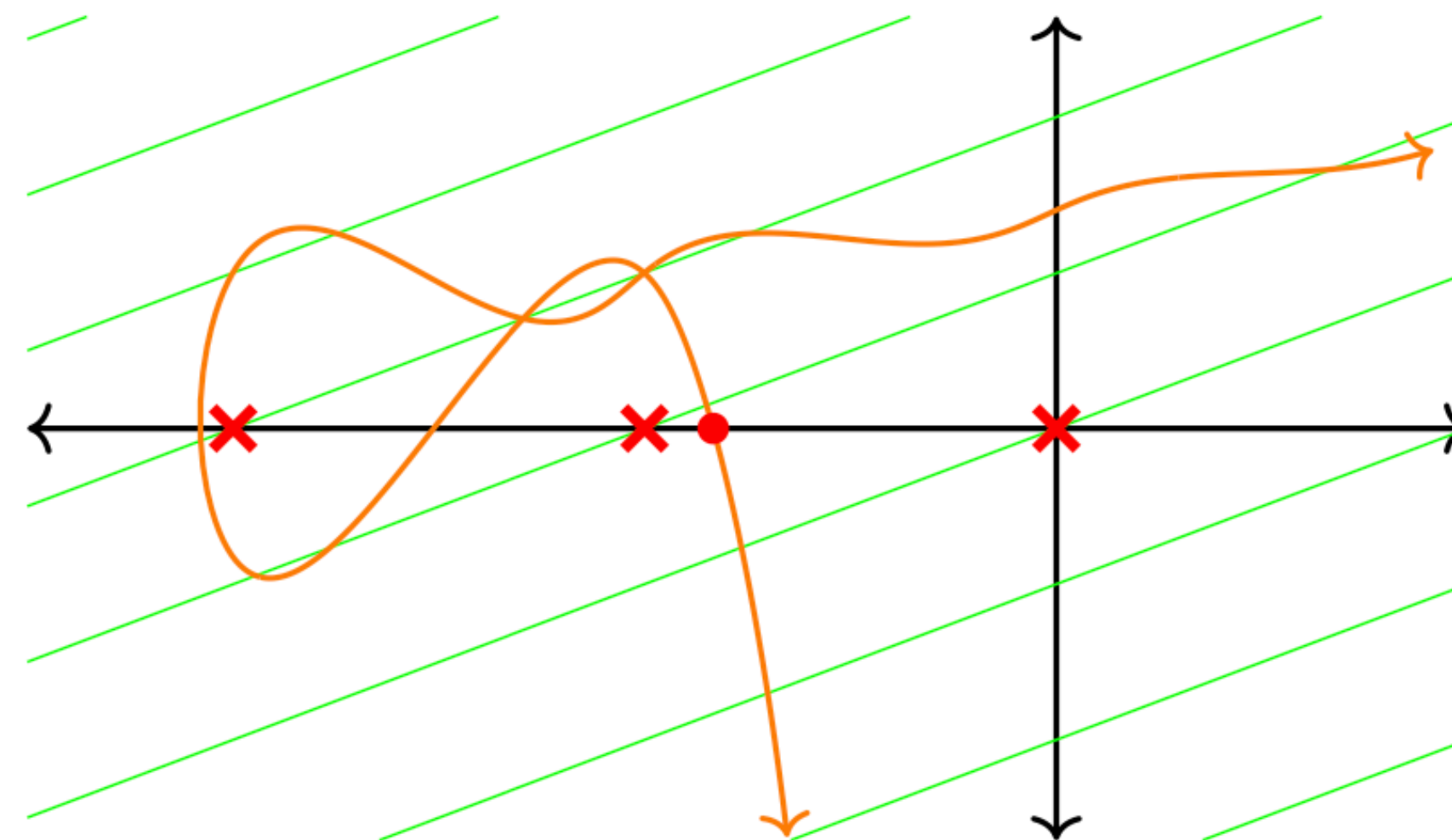
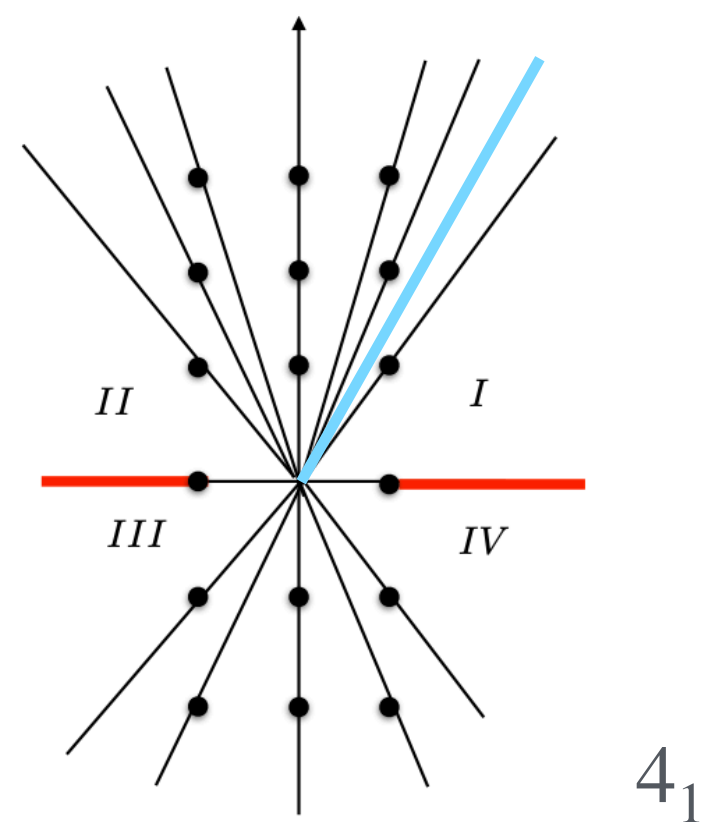
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Resummation

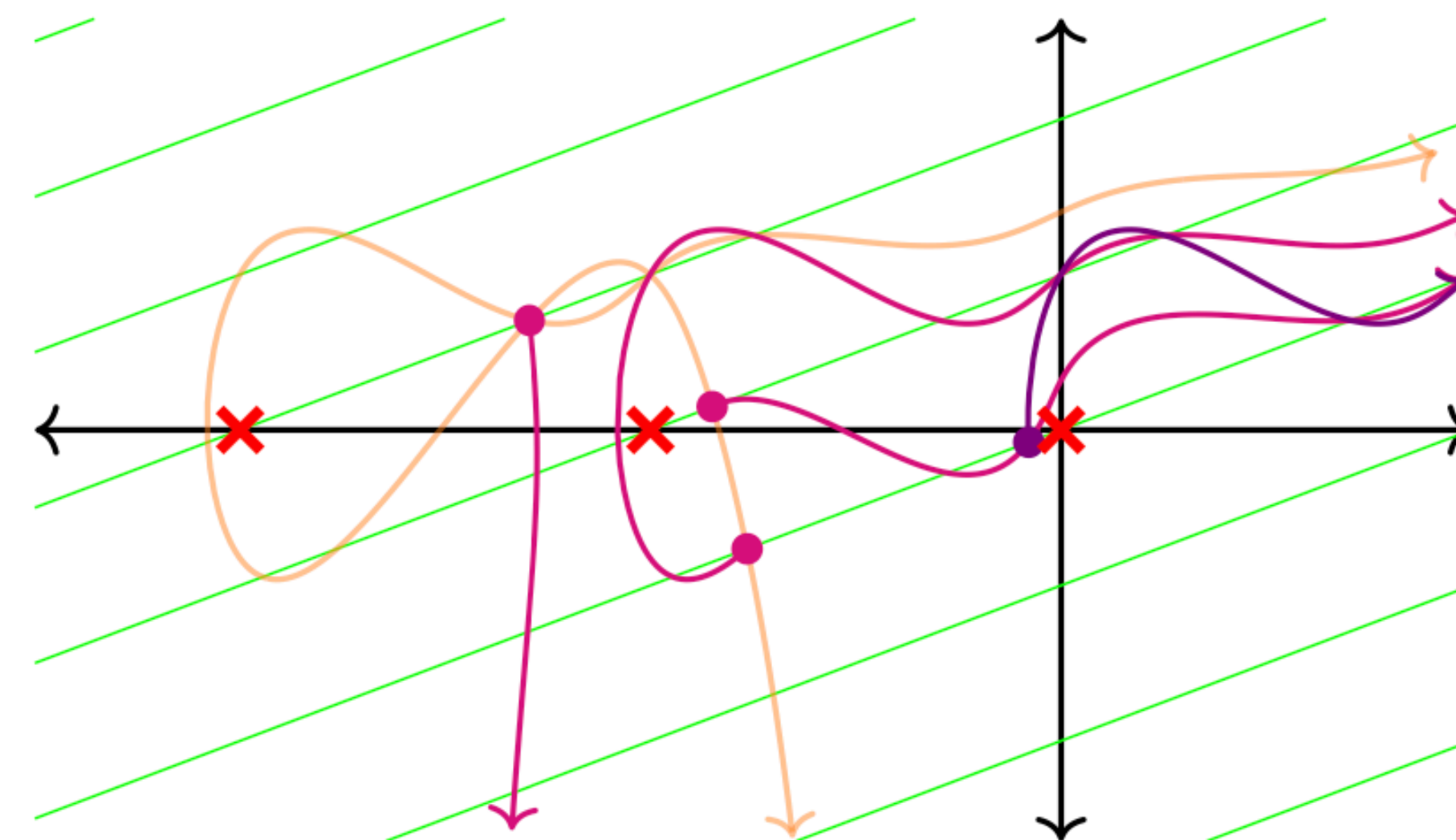
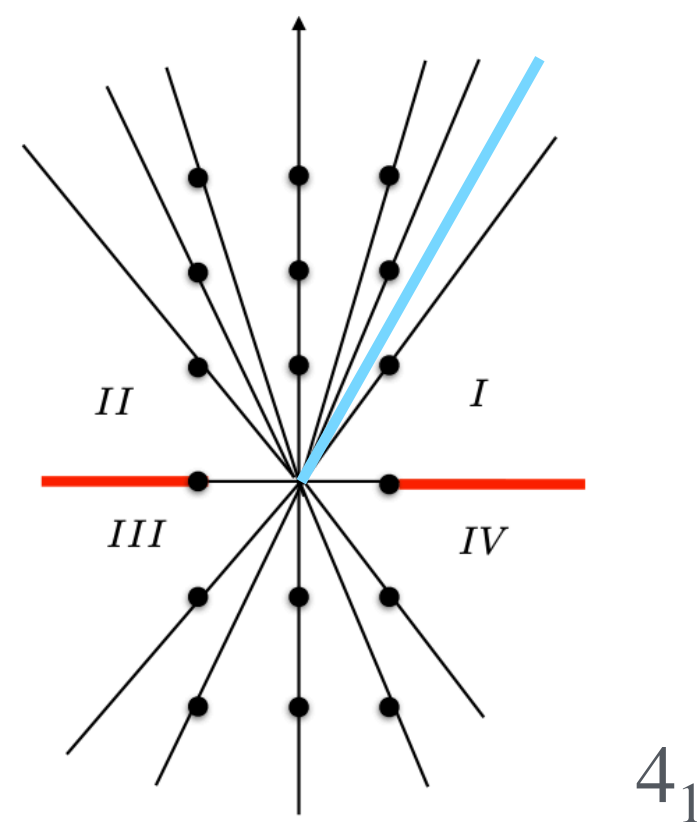
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$$\begin{aligned}\hat{\Upsilon}_g &= -I_{0,0} + I_{0,-1} - q^4 I_{2,-2} + 2q^2 I_{1,-1} + 2q^2 I_{1,-1} - 4q I_{0,0} \\ &= -I_{0,0} - I_{-1,0} - 9q I_{0,0}\end{aligned}$$

Resummation

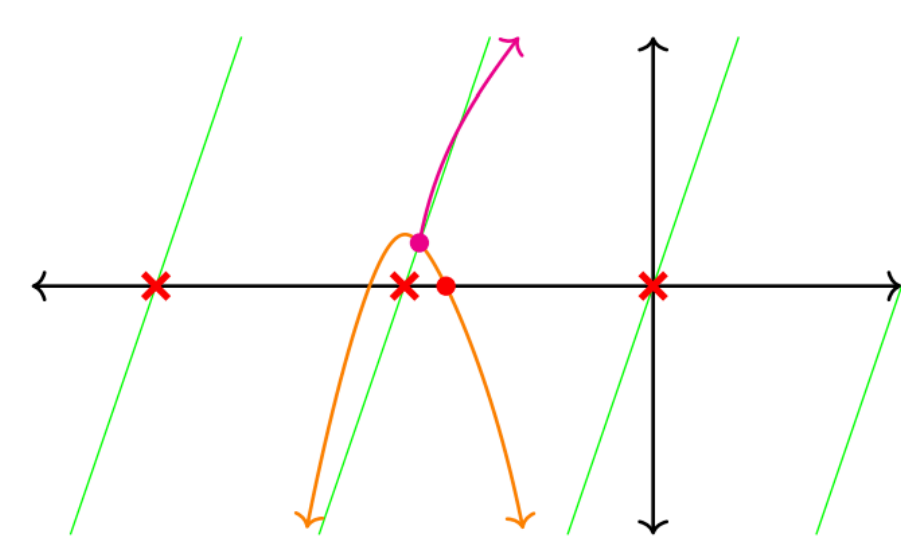
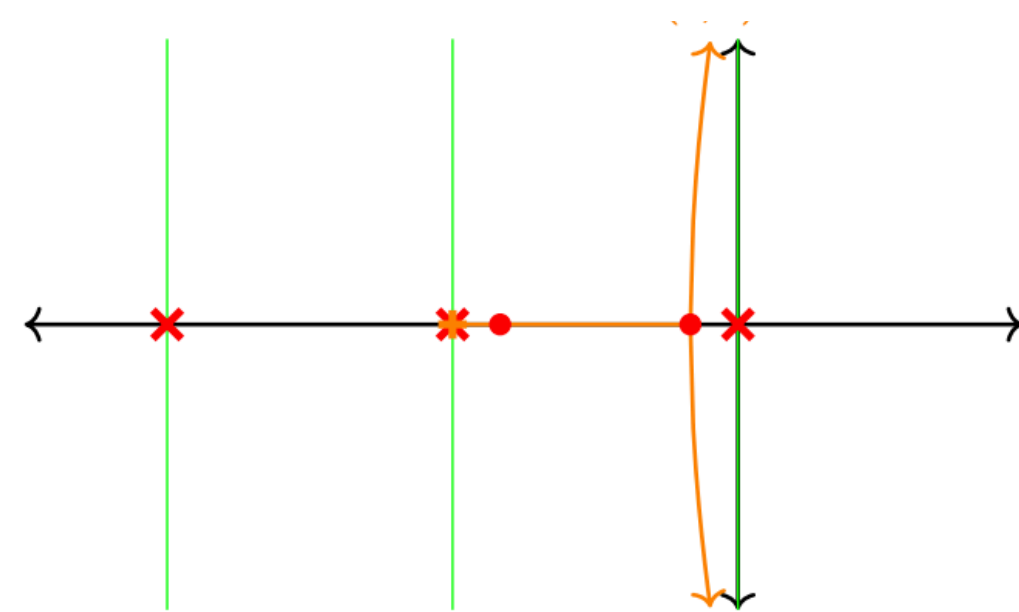
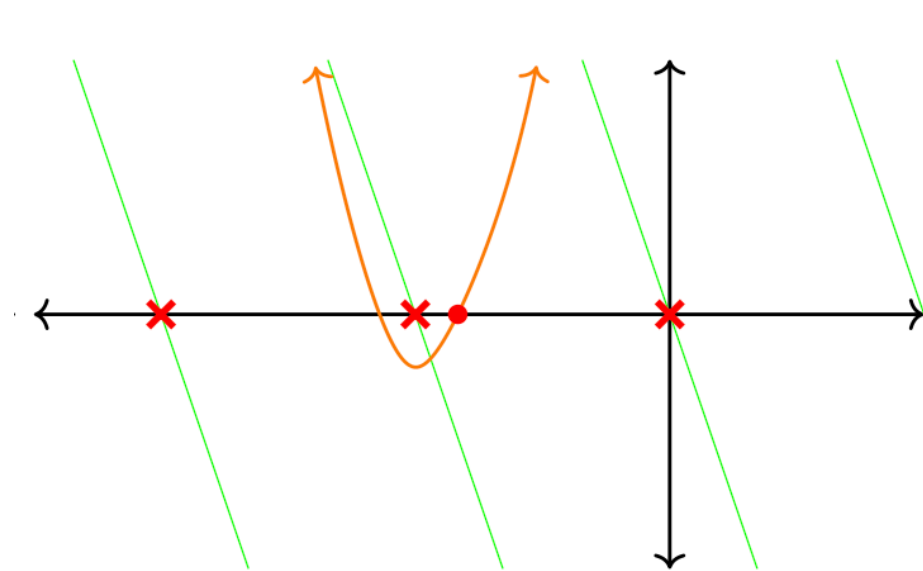
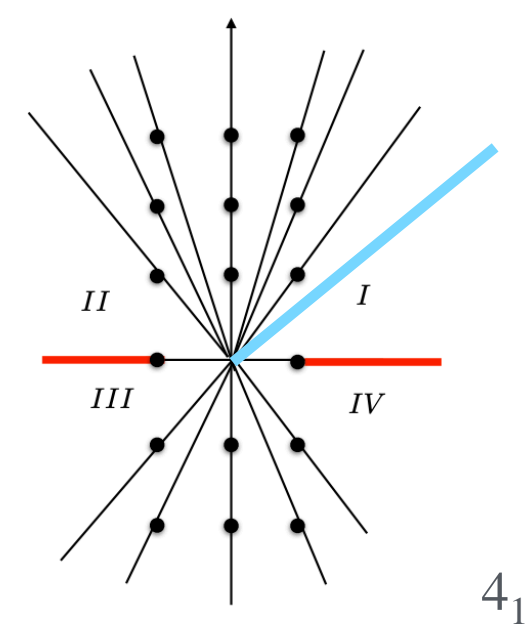
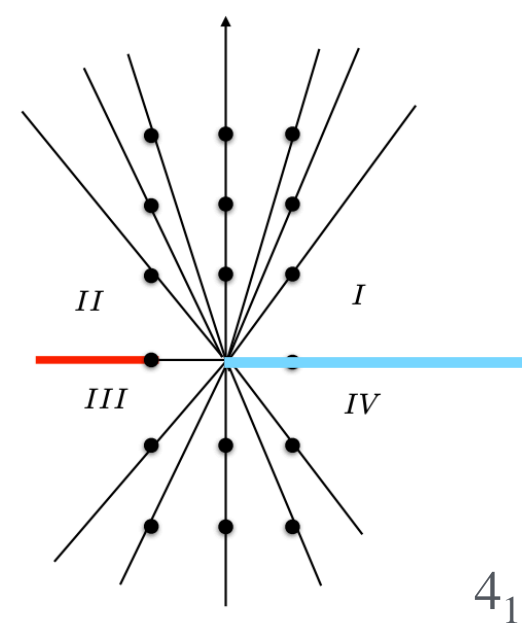
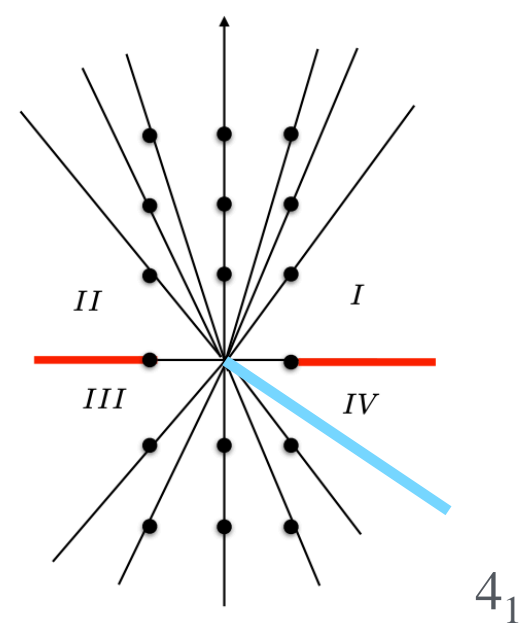
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Computing the Stokes constants

The **Stokes constants** are computed by comparing two different Borel-Laplace sums along directions separated by the ray that contains the singularity



$$I_{0,0} + I_{1,0} - (-I_{0,0} - I_{-1,0}) = 3I_{0,0} \Rightarrow S = 3q$$

Plan

1. Motivation
2. A overview of resurgence
3. Application to complex Chern-Simons on the complement of an hyperbolic knot

4. Conclusions

In progress with Andersen, Kontsevich and Wheeler

- Generalization of our result to higher-dimensional integrals, and for a more general class of integrals

$$\int g(x, \hbar) \, dx$$

with $g(x; \hbar) \sim_{\hbar \rightarrow 0} e^{-f(x)/\hbar} \sum_{k \geq 0} \phi_k(x) \hbar^k$ and $f: X \rightarrow \mathbb{C}$ possibly multi-valued

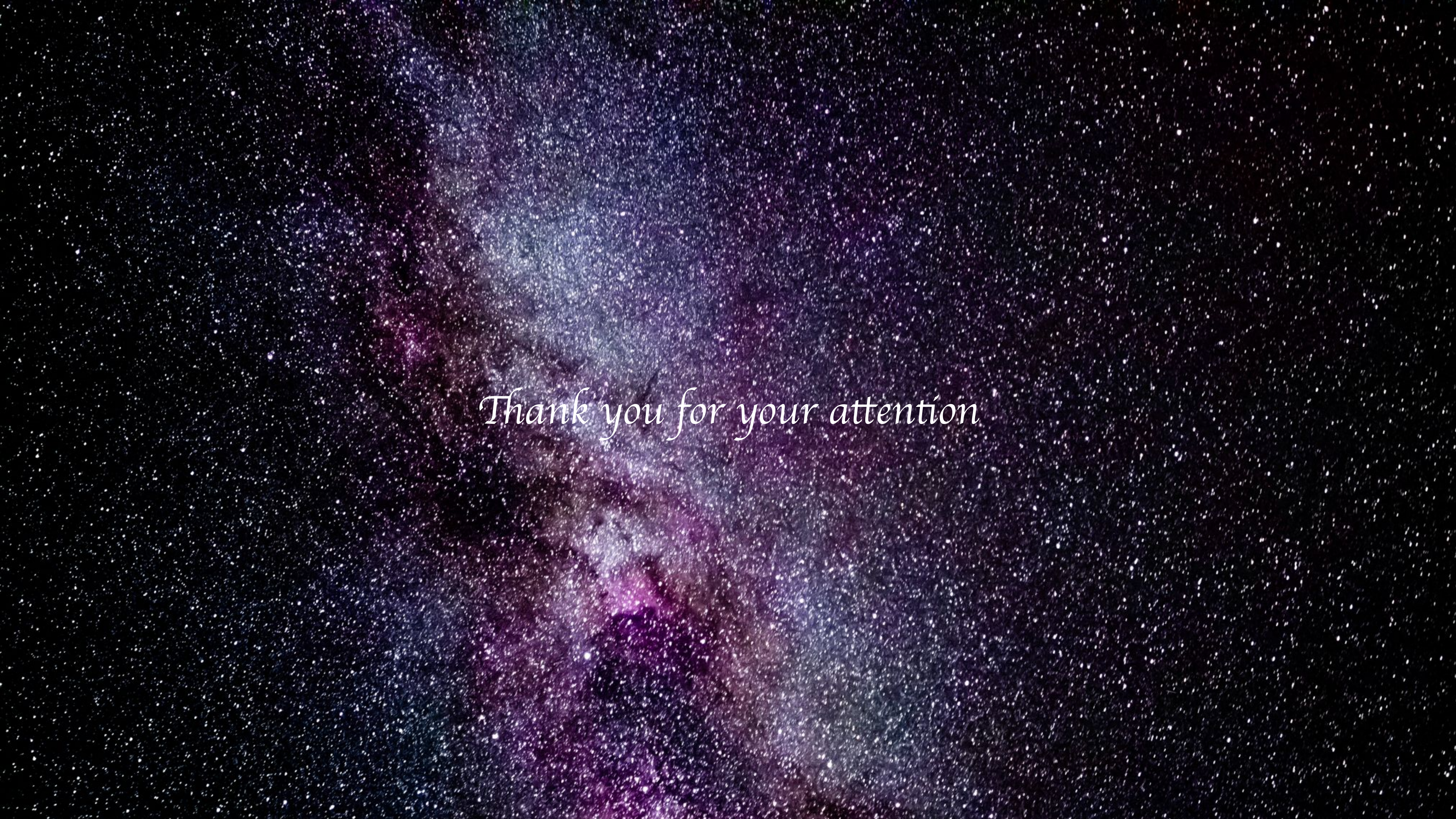
- **Proof of the 3D index conjecture:** the 3D index is computed by the Picard-Lefschetz formula on a suitable homology theory

Can resurgence fail?

Resurgence computes non-perturbative corrections

Despite being a powerful computational tool, effective in various contexts, resurgence has some limits

- Not enough perturbative coefficients limit the numerical computation
- Some non-perturbative sectors can be missed
 - ◆ Trivial flat connection is not seen from the geometric ones [\[Garoufalidis-Gu-Mariño-Wheeler\]](#)
 - ◆ More examples from QFT



Thank you for your attention